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BETA-LACTAMASE INHIBITOR PRODRUG

## BACKGROUND OF THE INVENTION

Beta-lactam antibiotics, which generally are penicillins and cephalosporins, have been widely used in the treatment of infections, primarily bacterial, in mammals such as man. Certain micro-organisms are believed to be resistant to these antibiotics because they produce various beta-lactamase enzymes which attack the beta-lactam ring of the antibiotic thereby rendering the drug ineffective.

In U.S. Patent No. 4,287,181, Kellogg disclosed that various 6 $\beta$ -hydroxyalkylpenicillanic acids, including 6- $\beta$ -hydroxymethylpenicillanic acid sulfone which is the beta-lactamase inhibitor used in the present invention, are potent beta-lactamase inhibitors. U.K. Patent Application GB2053220A, Metzger et al. and U.K. Patent Application GB2076812, by Schneider et al., likewise disclosed that 6- $\beta$ -hydroxymethyl-penicillanic acid sulfone is a beta-lactamase inhibitor. However, the beta-lactamase inhibitor 6- $\beta$ -hydroxymethyl-penicillanic acid sulfone is very poorly absorbed in vivo in rodents during preclinical studies when administered orally.

Kellogg, Metzger et al. and Schneider et al. also disclosed ester prodrugs of 6- $\beta$ -hydroxymethyl-penicillanic acid sulfone, which readily hydrolyze in vivo, during preclinical studies, and which demonstrated better absorption in rodents than did the free acid.

However, many of these ester prodrugs could only be synthesized as oils or as solids that had low melting

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5 points whose usefulness in pharmaceutical formulations is more limited than would be a solid prodrug with a melting point suitable for tableting, milling or purification.

Furthermore, these ester prodrugs were typically  
10 not highly absorbed when orally administered. Thus, higher drug dosages would be required to be administered orally, to obtain a therapeutically effective plasma level of the beta-lactamase inhibitor 6- $\beta$ -hydroxymethylpenicillanic acid sulfone, than would be required  
15 for a more highly absorbed prodrug. In addition, oral administration of the less absorbed prodrugs may result in an increase in the incidence and severity of diarrhea experienced by the recipient as the unabsorbed prodrug may hydrolyze in the gastro-intestinal tract, to form  
20 the active drug, and, with any residual amoxicillin, selectively kill essential components of the normal microbial flora. Further, it is desirable that the process, for producing the desired prodrug, be relatively inexpensive.

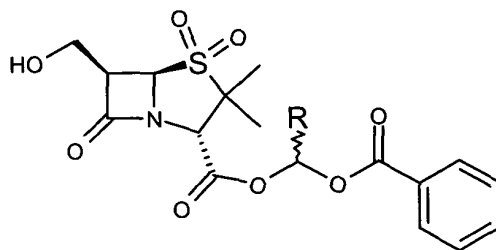
25 Therefore, there is a need for a crystalline prodrug of the beta-lactamase inhibitor 6- $\beta$ -hydroxymethylpenicillanic acid sulfone which has a high oral bioavailability, and more preferably is crystalline with a suitable melting point.

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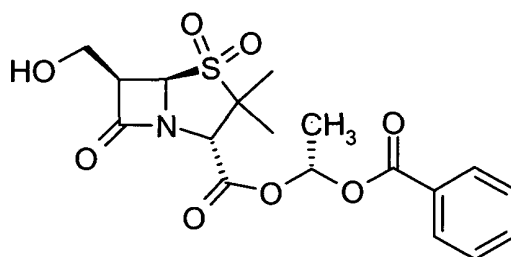
#### SUMMARY OF THE INVENTION

The present invention relates to prodrugs of 6- $\beta$ -hydroxymethylpenicillanic acid sulfone (also named 4-thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-

5 (hydroxymethyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide  
(2S,5R,6R)) having the structure



10 and solvates thereof, wherein R is H or methyl. The  
prodrugs of the present invention include 4-thia-1-  
azabicyclo[3.2.0] heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, -1-(benzoyloxy)-  
methyl ester, 4,4-dioxide (2S,5R,6R);  
15 4-thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid,  
6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, -1-(benzoyloxy)-  
ethyl ester, 4,4-dioxide (2S,5R,6R); 4-thia-1-  
azabicyclo[3.2.0] heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-  
20 (benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R); and 4-  
thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid,  
6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1S)-1-  
(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R). The  
preferred prodrug of the present invention is 4-thia-1-  
25 azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-  
(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), which  
has the structure



5

or a solvate thereof.

The present invention also relates to pharmaceutical compositions comprising a prodrug of the present invention or a solvate thereof, an optional  
 10 beta-lactam antibiotic, and a pharmaceutically acceptable excipient. Preferably, the beta-lactam antibiotic is amoxicillin. It is also preferred that the prodrug, used in the pharmaceutical composition, is 4-thia-1-azabicyclo[3.2.0] heptane-2-carboxylic acid, 6-  
 15 (hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R) or a solvate thereof. It is more preferred that the pharmaceutical composition comprises 4-thia-1-azabicyclo [3.2.0] heptane-2-carboxylic acid, 6-(hydroxymethyl)-  
 20 3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), or a solvate thereof, amoxicillin and a pharmaceutically acceptable excipient.

The present invention further relates to a method for increasing the therapeutic effectiveness of a beta-  
 25 lactam antibiotic in a mammal comprising administering to said mammal an effective amount of a beta-lactam antibiotic and an effectiveness-increasing amount of a prodrug of the present invention, or a solvate thereof. Preferably, the beta-lactam antibiotic is amoxicillin.  
 30 It is also preferred that the prodrug used is 4-thia-1-azabicyclo[3.2.0] heptane-2-carboxylic acid, 6-

5 (hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R) or a solvate thereof. It is more preferred that the prodrug is 4-thia-1-azabicyclo [3.2.0] heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-  
10 (benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), or a solvate thereof, and the beta-lactam antibiotic is amoxicillin. It is further preferred that the mammal is a human.

The present invention additionally relates to the  
15 treatment of a bacterial infection in a mammal by administering a therapeutically effective amount of a pharmaceutical composition of the present invention to a mammal in need thereof. Preferably, this pharmaceutical composition further comprises a beta-lactam antibiotic.  
20 More preferably, the beta-lactam antibiotic is amoxicillin. It is also preferred that the prodrug used is 4-thia-1-azabicyclo[3.2.0] heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R) or a  
25 solvate thereof. It is more preferred that the prodrug is 4-thia-1-azabicyclo [3.2.0] heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), or a solvate thereof, and the beta-lactam antibiotic is  
30 amoxicillin. It is further preferred that the mammal is a human.

## 5 DETAILED DESCRIPTION

The terms used to describe the present invention have the following meanings herein.

The term "a" means at least one. Thus, for example, the phrase "a pharmaceutically acceptable  
10 excipient" means at least one pharmaceutically acceptable excipient.

The term "effective amount" means the amount of beta-lactam antibiotic which, when administered either alone, or in combination with a prodrug of the present  
15 invention, prevents the onset of, alleviates the symptoms of, stops the progression of, or eliminates a bacterial infection in a mammal.

The term "effectiveness-increasing amount" means that amount of prodrug which, when administered to a  
20 mammal and which subsequently hydrolyzes *in vivo* to form the beta-lactamase inhibitor of the present invention, increases the therapeutic effectiveness of a co-administered beta-lactam antibiotic.

The term "mammal" is an individual animal that is a  
25 member of the taxonomic class Mammalia. The class Mammalia includes, for example, humans, monkeys, chimpanzees, gorillas, cattle, swine, horses, sheep, dogs, cats, mice and rats.

In the present invention, the preferred mammal is a  
30 human, male or female.

The term excipient, as used herein, means any component of a pharmaceutical formulation other than the prodrug or optional beta-lactamase antibiotic.

The term "pharmaceutically acceptable excipient"  
35 means that said excipient must be compatible with other

5 ingredients of the composition, and not deleterious to the recipient thereof. Pharmaceutical compositions of the present invention are prepared by procedures known in the art using well known and readily available ingredients.

10 The prodrugs of the present invention include 4-thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, -1-(benzoyloxy)-methyl ester, 4,4-dioxide (2S,5R,6R) which is described in Example 3, and 4-thia-1-azabicyclo[3.2.0]heptane-2-  
15 carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, -1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R) which is described in Example 4.

The prodrugs of the present invention, as further described in Example 9, are stable in the upper GI tract  
20 but, after absorption, readily hydrolyze *in vivo* to form 6- $\beta$ -hydroxymethylpenicillanic acid sulfone (hereinafter know as "6- $\beta$ -HMPAS") which is a beta-lactamase inhibitor that increases the effectiveness of beta-lactam antibiotics against beta-lactamase-producing bacteria.  
25 This beta-lactamase inhibition generally preserves the antibacterial potency of a co-administered beta-lactam antibiotic against beta-lactamase (+) strains.

As the prodrugs of the present invention hydrolyze *in vivo* and form the free acid beta-lactamase inhibitor  
30 6- $\beta$ -HMPAS, these prodrugs are useful in that, when administered to a mammal, the effectiveness of a co-administered beta-lactam antibiotic against beta-lactamase producing bacteria will be enhanced.

Prodrugs of this invention may be used, in  
35 combination therapy with beta-lactam antibiotics, to

5 treat infections of, for example, the respiratory tract,  
the urinary tract and soft tissues in humans.

Compositions of this invention may also be used to treat  
infections in other mammals, such as mastitis in cattle.

Bacterial infections amenable to treatment by the  
10 prodrug, pharmaceutical composition and method of the  
present invention include, but are not limited to, upper  
respiratory diseases including community acquired  
pneumoniae (CAP), acute exacerbations of chronic  
bronchitis (AECB) and acute bacterial sinusitis (ABS),  
15 caused by respiratory pathogens, such as *Haemophilus*  
*influenzae* and *Moraxella catarrhalis* including  
antibiotic resistant isolates.

Further, bacterial infections amenable to  
treatment, by pharmaceutical compositions of the  
20 present invention which contain an antibiotic, include,  
but are not limited to, pediatric otitis media,  
sinusitis, pneumonia and acute exacerbations of  
bronchitis in adults caused by *H. influenzae* or  
*Streptococcus pneumoniae*, including Drug Resistant *S.*  
25 *pneumoniae* (DRSP) such as Penicillin Resistant *S.*  
*pneumoniae*.

Additional, bacterial infections amenable to  
treatment, by pharmaceutical compositions of the  
present invention which contain an antibiotic, include,  
30 but are not limited to, soft tissue infections caused by  
*E. Coli*, *Klebsiella pneumoniae*, *Enterobacter spp.* and  
all other members of the family *Enterobacteriaceae*.

Other infections amenable to treatment, by  
pharmaceutical compositions of the present invention  
35 which contain an antibiotic, include, but are not



5 limited to, those caused by beta-lactamase producing  
methicillin susceptible *staphylococci* and beta-lactamase  
producing anaerobes.

As the prodrugs of the present invention contain  
more than one chiral center, they exist in different  
10 optically active diasteriomeric forms. More  
specifically, the preferred prodrugs of the present  
invention contain a chiral center at the 1-ethyl  
location. The present invention includes both 1R and 1S  
diastereomers of 4-thia-1-azabicyclo[3.2.0]heptane-2-  
15 carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, -  
1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), and  
also includes, and mixtures of these diastereomers, such  
as racemic mixtures. These diastereomeric forms, a  
mixture thereof, and their respective syntheses are  
20 further described herein in the following Examples 4-6.

Even more preferably, the prodrug of the present  
invention comprises the 1R diastereomer of 4-thia-1-  
azabicyclo[3.2.0]-heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, -1-(benzoyloxy)ethyl  
25 ester, 4,4-dioxide (2S,5R,6R) as is described in Example  
5.

The prodrugs of the present invention may exhibit  
polymorphism. Polymorphs of prodrugs form part of this  
invention and may be prepared by crystallization of a  
30 prodrug of the present invention under different  
conditions. For example, using different solvents or  
different solvent mixtures for recrystallization;  
crystallization at different temperatures; various modes  
of cooling ranging from very fast to very slow cooling  
35 during crystallization. Polymorphs may also be obtained

5 by heating or melting a prodrug followed by gradual or fast cooling. The presence of polymorphs may be determined by solid probe nmr spectroscopy, ir spectroscopy, differential scanning calorimetry, powder X-ray diffraction or other such techniques.

10 The prodrugs of the present invention may also exist in the form of solvates, for example, hydrates, ethanolate, n-propanolate, iso-propanolate, 1-butanolate, 2-butanolate and solvates of other physiologically acceptable solvents, such as the Class 3  
15 solvents described in the International Conference on Harmonization (ICH), Guidance for Industry, Q3C Impurities: Residual Solvents (1997). The present invention includes each solvate and mixtures thereof.

For a prodrug, the minimum amount of prodrug  
20 administered is that amount which will increase the effectiveness of a co-administered beta-lactam antibiotic. The maximum amount of prodrug administered is that amount, which either alone or in combination with the beta-lactam antibiotic, is toxicologically  
25 acceptable.

Typically, for adults and children weighing at least 40 kg, the daily dosage amount of prodrug is between about 200 mg to about 1 g or more. For children weighing less than 40 kg, the daily dosage amount of  
30 prodrug is between about 7 mg/kg/day to about 20 mg/kg/day or more. However, these figures are illustrative only, and, in some cases, it may be necessary to use dosages outside these limits.

5           A daily dosage of the prodrug of the present invention can be administered from 1 to 4 times daily in equal doses.

          In the treatment of a bacterial infection, a prodrug of the present invention is co-administered with  
10   a beta-lactam antibiotic. The prodrug and the beta-lactam antibiotic may be administered concurrently or sequentially. Further, the prodrug and antibiotic may be contained in separate pharmaceutical compositions or in a single pharmaceutical composition.

15           Typical beta-lactam antibiotics, with which the prodrug of the present invention is co-administered, are beta-lactam antibiotics which are sensitive to enzymatic degradation and inactivation by various beta-lactamase enzymes. Examples of such beta-lactamase sensitive  
20   antibiotics include, but are not limited to, penicillins such as natural penicillins, amoxicillin and ampicillin; cephalosporins such as cefadroxil, cefazolin, cephalixin, cephalothin, cephapirin, cephradine, cefaclor, cefamandole, cefoicid, ceforanide, cefprozil,  
25   cefuroxime, cefdinir, cefoperazone, cefotaxime, cefpodoxime, ceftazidime, ceftibuten, ceftizoxime, ceftriaxone and cefepime; and monobactams such as aztreonam.

          Typically, when contained together in a  
30   pharmaceutical composition, the weight ratio of beta-lactam antibiotic to prodrug is between about 15:1 to about 1:1.

          Preferably, a prodrug of the present invention is co-administered with amoxicillin. More preferably,  
35   amoxicillin is co-administered with the prodrug 4-thia-

5 1-azabicyclo[3.2.0]-heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-  
(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R).

The term "amoxicillin" as used herein shall mean  
amoxicillin or an alkaline salt, or hydrate thereof such  
10 as, in particular, amoxicillin trihydrate or  
(crystallized) sodium amoxicillin. Unless otherwise  
indicated, weights of amoxicillin refer to the  
equivalent weight of the corresponding free acid. In  
addition, it will be appreciated that in practice,  
15 weights of amoxicillin to be incorporated into a  
formulation will be further adjusted, in accord with  
conventional practice, to take account of the potency of  
the amoxicillin.

Typically, an effective amount of amoxicillin, for  
20 adults and children weighing at least 40 kg, is a daily  
dosage level of about 250 mg to about 5 g. For children  
weighing less than 40 kg, an effectiveness-increasing  
amount of amoxicillin is a daily dosage level of about  
20 mg/kg/day to about 150 mg/kg/day. However, these  
25 figures are illustrative only, and, in some cases, it  
may be necessary to use dosages outside these limits.

A daily dosage of amoxicillin can be administered  
from 1 to 4 times daily in equal doses in the form of  
immediate, modified or delayed (or slow) release  
30 compositions. Formulations of immediate, modified and  
delayed (slow) release pharmaceutical compositions  
containing amoxicillin, which are suitable for the  
pharmaceutical composition of the present invention, and  
the preparation thereof, are described in United States  
35 Patent Nos. 4,537,887, issued to Rooke et al.,

5 6,051,255, issued to Conley et al., 6,218,380, issued to  
Cole et al., 6,051,255, issued to Conley et al.; United  
States Patent Application Serial Number 09/911,905, by  
Conley et al.; and International Application Number  
PCT/IB01/01899, by Conley et al. The teachings of U.S.  
10 Patent Nos. 4,537,887, 6,051,255, 6,218,380, 6,051,255,  
USSN 09/911,905 and International Application Number  
PCT/IB01/01899 regarding immediate, modified and delayed  
release amoxicillin formulations are incorporated herein  
by reference.

15 In these compositions, the exact amount of prodrug  
and amoxicillin will depend to some extent on the micro-  
organism which is being treated.

As will be appreciated by one skilled in the art,  
some of the beta-lactam compounds are effective when  
20 administered orally or parenterally, while others are  
effective only when administered parenterally. When a  
prodrug of the present invention is combined with a  
parenterally administered beta-lactam antibiotic, a  
pharmaceutical suitable for which is effective only on  
25 parenteral administration, a combination formulation  
suitable for parenteral use will be employed. When the  
prodrug is to be combined with a beta-lactam antibiotic  
which is effective orally or parenterally, combinations  
suitable for either oral or parenteral administration  
30 can be prepared. Additionally, it is possible to  
administer preparations of the prodrug orally, while  
administering a further beta-lactam antibiotic  
parenterally; and it is also possible to administer  
preparations of the prodrug parenterally, while  
35 administering the further beta-lactam antibiotic orally.

5           A pharmaceutical composition, of the present invention, comprises a prodrug of the present invention and a pharmaceutically acceptable excipient.

          Optionally, the pharmaceutical composition further comprises a beta-lactam antibiotic. It is preferred  
10   that the antibiotic is amoxicillin. It is also preferred that the prodrug is 4-thia-1-azabicyclo-[3.2.0]heptane-2-carboxylic acid,6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R). It is more preferred that the  
15   pharmaceutical composition comprises 4-thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid,6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R), amoxicillin and a pharmaceutically acceptable excipient.

20           Typically, excipients, are as known in the art, and include, but are not limited to binders, fillers and extenders, carriers or vehicles, diluents, disintegrants, lubricants, glidants, stabilizers, buffers, bulking or thickening agents, emulsifiers,  
25   suspending agents, flavors, sweeteners, and pigments.

          Examples of excipients that are suitable for such pharmaceutical compositions include: fillers and extenders such as starch, sugars, mannitol, and silicic derivatives; binding agents such as carboxymethyl  
30   cellulose and other cellulose derivatives, alginates, gelatin, and polyvinyl pyrrolidone; moisturizing agents such as glycerol; disintegrating agents such as agar agar, calcium carbonate, and sodium bicarbonate; agents for retarding dissolution such as paraffin; resorption  
35   accelerators such as quaternary ammonium compounds;

5 surface active agents such as cetyl alcohol, glycerol  
monostearate; adsorptive carriers such as kaolin and  
bentonite; and lubricants such as talc, calcium and  
magnesium stearate and solid polyethylene glycols. The  
formulations can additionally include: lubricating  
10 agents such as talc, magnesium stearate, and mineral  
oil; wetting agents; emulsifying and suspending agents;  
preserving agents such as methyl- and  
propylhydroxybenzoates; sweetening agents; and flavoring  
agents.

15 Suitable examples of methods of preparing  
pharmaceutical compositions are provided in Remington's  
Pharmaceutical Sciences, Mack Publishing Company,  
Easton, Pa., 18th Edition (1990).

In preparing a pharmaceutical composition of the  
20 present invention, the prodrug, and optional beta-lactam  
antibiotic, are usually mixed with an excipient, diluted  
by an excipient or enclosed within a carrier that can be  
in the form of a capsule, sachet, or other container.  
When the excipient serves as a diluent, it can be a  
25 solid, semi-solid, or liquid material that acts as a  
vehicle, carrier or medium for the active ingredient.

The pharmaceutical composition can be administered  
orally or parenterally, i.e. intramuscularly,  
subcutaneously, intravenously or intraperitoneally. The  
30 carrier is chosen on the basis of the intended mode of  
administration. For example, when considering the oral  
mode of administration, a pharmaceutical composition of  
this invention can be used in the form of tablets  
including chewable tablets, capsules, lozenges, troches,  
35 powders, syrups, elixirs, aqueous solutions and

5 suspensions, and the like, in accordance with standard pharmaceutical practice. The proportional ratio of active ingredient to carrier will naturally depend on the chemical nature, solubility and stability of the active ingredients, as well as the dosage contemplated.

10 However, pharmaceutical compositions containing a beta-lactam antibiotic and a prodrug of the present invention will preferably contain from about 20% to about 95% of active ingredients. In the case of tablets for oral use, carriers which are commonly used include, for example,

15 lactose, sodium citrate and salts of phosphoric acid. Various disintegrants such as starch, and lubricating agents, such as magnesium stearate, sodium lauryl sulfate and talc, are commonly used in tablets. For oral administration in capsule form, useful diluents include,

20 for example, are lactose and high molecular weight polyethylene glycols. When aqueous solutions or suspensions are required for oral use, the active ingredient may be combined with emulsifying and suspending agents. If desired, certain sweetening and/or

25 flavoring agents can be added. For parenteral administration, sterile solutions of the active ingredients are usually prepared, and the pH of the solutions is suitably adjusted and buffered. For intravenous use, the total concentration of solutes

30 should be controlled to render the preparation isotonic.

The formulations of the invention may be made up into solid dosage forms for oral administration by a method conventional to the art of pharmaceutical technology, e.g. tablets or powder or granular products

35 for reconstitution into a suspension or solution.



5           Suitable ingredients and suitable methods for  
making such tablets are disclosed in, for example,  
International Applications WO 92/19227 and WO 95/28927  
the teachings of which, regarding tablet ingredients and  
methods for making tablets, are incorporated herein by  
10 reference. Powder or granular formulations, such as  
pediatric suspension formulations, may be manufactured  
using techniques which are generally conventional in the  
field of manufacture of pharmaceutical formulations and  
in the manufacture of dry formulations for  
15 reconstitution into such suspensions. For example a  
suitable technique is that of mixing dry powdered or  
granulated ingredients for loading into a suitable  
container.

For pediatric dosing, the formulations of the  
20 invention are preferably made up into a sweet flavored  
aqueous syrup formulation of generally conventional  
formulation (except for its novel amoxicillin:prodrug  
ratio and intended use) containing a suitable weight of  
the amoxicillin and prodrug in a unit dose volume, e.g.  
25 5 ml or 2.5 ml preferably as a syrup. A pediatric  
formulation may therefore comprise a bulk of a solution  
or suspension, e.g. a syrup, or granules or powder which  
can be made up into such a solution or suspension, at a  
concentration of solution or suspension which contains  
30 such a dose in such a volume. Suitable such formulations  
are described in International application no PCT  
EP96/01881 (SmithKline Beecham). The formulation of this  
invention will normally, in addition to its active  
materials amoxicillin and prodrug, also include  
35 excipients which are standard in the field of

5 formulations for oral dosing and used in generally  
standard proportions, and at generally standard particle  
sizes and grades etc.

In the case of pediatric oral suspensions, these  
excipients may comprise suspending aids, glidants (to  
10 aid filling), diluents, bulking agent, flavors,  
sweeteners, and stabilizers.

Suitable excipients for use include, for example,  
xantham gum (suspension aid), colloidal silica  
(glidant), succinic acid (stabilizer), aspartame  
15 (sweetener), hydroxypropylmethylcellulose (suspension  
aid) and silicon dioxide (diluent for prodrug and  
bulking agent). Flavors may comprise common flavors such  
as bubble gum, orange, banana, raspberry, grape and  
golden syrup, or mixtures thereof, to suit local  
20 requirements.

The pharmaceutical composition of the present  
invention may, for example, be provided in solid unit  
dose forms embodying suitable quantities for the  
administration of such a daily dose. For example a unit  
25 dosage form may be tablets, or sachets containing  
granules or powders for reconstitution, one or two of  
which are to be taken 1-4 times daily. Alternatively a  
unit dose may be provided as a bulk of solid or solution  
or suspension, e.g. as a syrup for pediatric  
30 administration, together with a suitable measuring  
device of known type to facilitate administration of a  
suitable unit dose quantity of the formulation. A  
suitable unit dose quantity is one which enables the  
administration of the above-mentioned daily dosage  
35 quantity divided 1-4 doses.

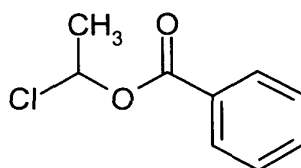
5 Yet another embodiment of this invention is a kit,  
for achieving an antibacterial therapeutic effect in a  
mammal, comprising (1) a pharmaceutical composition,  
which comprises a prodrug of the present invention and,  
optionally, a beta-lactam antibiotic, and (2) directions  
10 for the administration of the pharmaceutical composition  
in a manner to achieve the desired therapeutic effect.

The present invention will be further illustrated  
by means of the following examples. It is to be  
understood, however, that the invention is not meant to  
15 be limited to the details described therein.

#### Example 1

#### Preparation and Enantiomeric Separation of (R/S) Benzoic acid 1-chloro-ethyl ester

20

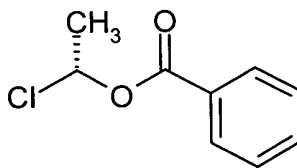


(R/S)-Benzoic acid 1-chloro-ethyl ester, shown  
25 above, was prepared as follows.

To a stirred solution of 122 g (862 mmol.) benzoyl  
chloride (Aldrich), under a nitrogen atmosphere in a 3-  
neck round bottom flask, was added 2.35 g (17.2 mmol.)  
anhydrous zinc chloride (Aldrich). The reaction mixture  
30 was stirred for 15 minutes at room temperature and then  
cooled to -15 °C using an ethylene glycol/ CO<sub>2</sub> bath. To  
this mixture was added slowly 37.9 g (862 mmol.)

5 acetaldehyde (Aldrich) while maintaining an internal temperature below 0 °C. After addition was completed, the reaction mixture was allowed to warm to room temperature. 400 mL water and 400 mL CH<sub>2</sub>Cl<sub>2</sub> were added and then the layers were separated. The organic layer  
 10 was separated and washed with saturated NaHCO<sub>3</sub>, water, brine, dried over MgSO<sub>4</sub> filtered and concentrated in vacuo. Chromatography on silica gel eluting with 1.75 % ethyl acetate/ 98.25 % hexanes afforded 55.4 g of a yellow oil. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400 MHz): 8.08 (d, 2H,  
 15 J=7.5Hz), 7.61 (t, 1H, J=7.5Hz), 7.47 (t, 2H, J=7.5Hz), 6.80 (q, 1H, J=6Hz), 1.93 (d, 3H, J=6Hz).

(R)-Benzoic acid 1-chloro-ethyl ester, shown below, was isolated by the chiral separation of (+/-)-benzoic acid 1-chloro-ethyl ester using a 10 cm by 50 cm  
 20 Chiralcel OJ column eluting with heptane/IPA (98/2) and with a flow rate of 250 mL/min. The enantiomer collected eluted second with an analytical purity retention time of 7.123 min.



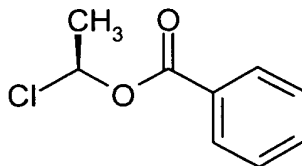
25

<sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400MHz): 8.08 (d, 2H, J=7.5Hz), 7.61 (t, 1H, J=7.5Hz), 7.47 (t, 2H, J=7.5Hz), 6.80 (q, 1H, J=6Hz), 1.93 (d, 3H, J=6Hz). [α<sub>d</sub>] = -140° (C+0.0315,  
 30 CHCl<sub>3</sub>).

(S)-Benzoic acid 1-chloro-ethyl ester, shown below, was isolated by the chiral separation of (+/-)-benzoic

5 acid 1-chloro-ethyl ester using a 10 cm by 50 cm  
Chiralcel OJ column eluting with heptane/IPA (98/2) and  
with a flow rate of 250 mL/min. The enantiomer  
collected eluted first with an analytical purity  
retention time of 5.807 min.

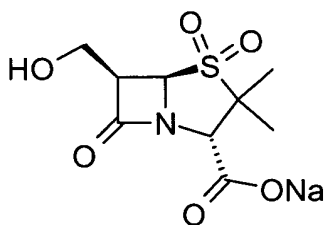
10



$^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 400MHz): 8.08 (d, 2H,  $J=7.5\text{Hz}$ ), 7.61 (t,  
1H,  $J=7.5\text{Hz}$ ), 7.47 (t, 2H,  $J=7.5\text{Hz}$ ), 6.80 (q, 1H,  
15  $J=6\text{Hz}$ ), 1.93 (d, 3H,  $J=6\text{Hz}$ ).  $[\alpha]_D = +130^\circ$  ( $C+0.0345$ ,  
 $\text{CHCl}_3$ ).

### Example 2

20 Preparation of 4-Thia-1-azabicyclo [3.2.0]heptane-2-  
carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-  
,4,4-dioxide, monosodium salt, (2S,5R,6R)

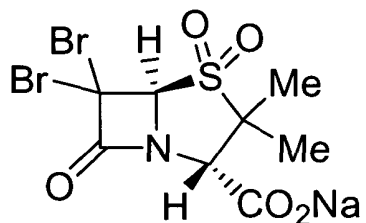


25 4-Thia-1-azabicyclo [3,2,0]heptane-2-carboxylic  
acid,  
6-(hydroxymethyl)-3,3-dimethyl-7-oxo-,4,4-dioxide,  
monosodium salt, (2S,5R,6R), shown above, (hereinafter

5 "Na-HMPAS") was prepared by the following four-step process.

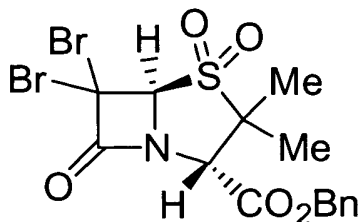
Step 1 - Preparation of sodium 6,6-dibromopenicillanate  
sulfone

10



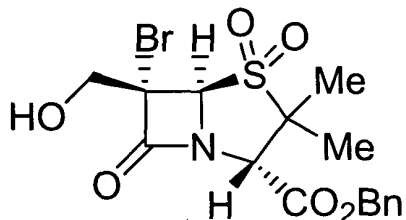
Ethyl acetate (15.8 L) was added to 6,6-dibromopenicil-lanic acid sulfone (2500 g) and heated to  
15 50 °C. Sodium ethyl hexanoate (1044 g) and ethyl acetate (5.0 L) were stirred to form a solution then added to the 6,6-dibromopenicillanic acid sulfone solution over a 60 minute period. The reaction mixture was allowed to cool to ambient temperature and the resulting solids  
20 granulated for a period of 1 hour. The product was collected by filtration and washed with ethyl acetate to give 2197 g (83%) of the sodium 6,6-dibromo-penicillanate sulfone. M.P. 186-187 °C. <sup>1</sup>HNMR (D<sub>2</sub>O) δ 1.30 (s, 3H), 1.45 (s, 3H), 4.29 (s, 1H), 5.54 (s, 1H).

25



Dimethylformamide (5.7 L) and the sodium 6,6-dibromopenicillanate sulfone (3820 g) were combined and the mixture was stirred for a few minutes until all of the solids dissolved. To this mixture benzyl bromide (1400 g) was added over a 1 hour period. The reaction mixture was then stirred overnight at ambient temperature. Water (4.5 L) and ethyl acetate (15.0 L) were added to quench the reaction. The aqueous phase was washed with ethyl acetate (2 x 600 mL) and the combined organic phases were washed sequentially with a saturated aqueous sodium bicarbonate solution (2 x 1 L) and an aqueous sodium chloride solution (2 x 1 L). The organic layer was dried over magnesium sulfate and concentrated to give 3566 g (90%) of the desired benzyl 6,6-dibromopenicillanate sulfone as crystals. M.P. 146-147 °C. <sup>1</sup>HNMR (CDCl<sub>3</sub>) δ 1.2 (s, 3H), 1.5 (s, 3H), 4.5 (s, 1H), 4.9 (s, 1H), 5.16 (d, 1H, J = 12 Hz), 5.29 (d, 1H, J = 12 Hz), 7.35-7.40 (m, 5H).

5     Step 3 - Preparation of benzyl 6- $\beta$ -hydroxymethyl-6- $\alpha$ -  
           bromopenicillanate sulfone



10           In a round bottom flask, paraformaldehyde was  
           heated under a nitrogen sweep to 160-180 °C to express  
           excess water. In a separate round bottom flask  
           tetrahydrofuran (8.0 L) and benzyl 6,6-  
           dibromopenicillanate sulfone (1000 g) were combined and  
 15   stirred until all of the solids had dissolved. The  
           solution was cooled to -78 °C and 3M methylmagnesium  
           chloride in THF (720 mL) was added slowly to the  
           solution while maintaining the temperature less than -70  
           °C. The reaction mixture was stirred for 1 hour. At  
 20   this time, formaldehyde gas expressed from the first  
           round bottom flask was blown over the surface of the  
           chilled reaction mixture using a stream of nitrogen.  
           This formaldehyde gas was expressed over the reaction  
           mixture for approximately 6 hours while maintaining  
 25   cooling and vigorous stirring of the chill reaction  
           flask. Upon reaction completion determined by TLC  
           (80:20 hexanes:ethyl acetate), the reaction was quenched  
           at -78 °C with a solution of acetic acid (132 mL) in THF  
           (400 ML). The reaction mixture was allowed to warm to  
 30   ambient temperature then the reaction mixture was  
           filtered through Supercel. The filtrate was



5 concentrated to an oil (~1000 g). The oil was then transferred to a large reaction vessel and ethyl acetate (5.0 L)/water (2.5 L) added. The mixture was stirred, then separated. The aqueous layer was washed with ethyl acetate (2 x 500 mL). The combined organic layers were  
 10 sequentially washed with 1N hydrochloric acid (3.0 L), water (3 x 3.0 L), and saturated aqueous sodium chloride solution (3 x 3.0 L). The organic layer was dried with magnesium sulfate, filtered through Supercel®, a calcined filter aid (Celite Corporation, Lompoc, CA),  
 15 concentrated and stored in a refrigerator. The resulting oil was chromatographed through silica gel (1 Kg per 500 g of product oil), and eluted with 9:1 hexane/ ethyl acetate (20.0 L) to remove impurities then 4:1 hexane/ ethyl acetate (4.0-8.0 L) and finally 3:2  
 20 hexane/ ethyl acetate (as needed) until the benzyl 6- $\beta$ -hydroxymethyl-6- $\alpha$ -bromo-penicillanate sulfone was removed. Yield 205.5 g (23 %). M.P. 120-121 °C. (CDCl<sub>3</sub>) <sup>1</sup>HNMR  $\delta$  1.28 (s, 3H), 1.57 (s, 3H), 4.09 (d, 1H,  $J$  = 16Hz), 4.54 (s, 1H), 4.62 (d, 1H,  $J$  = 16Hz), 4.82  
 25 (s, 1H), 5.18 (d, 1H,  $J$  = 16 Hz), 5.32 (d, 1H,  $J$  = 16 Hz), 7.36-7.42 (m, 5H).

Step 4 - Preparation of 4-Thia-1-azabicyclo  
[3,2,0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-  
 30 dimethyl-7-oxo-,4,4-dioxide, monosodium salt, (2S,5R,6R)

Water (163 mL), ethyl acetate (2000 mL), benzyl 6- $\beta$ -hydroxymethyl-6- $\alpha$ -bromopenicillanate sulfone (180 g), triethylamine (90.0 g) and 5% palladium on carbon (45 g) were combined and hydrogenated at 50 psi at ambient  
 35 temperature for approximately 2 hours. A TLC of the

5 reaction mixture showed the reaction was not complete so additional catalyst (15 g) was added and the mixture was hydrogenated for one hour. Once the reaction was complete, the reaction was quenched with a mixture of sulfuric acid (112.5 g) and water (270 mL). The  
 10 reaction mixture was filtered to remove catalyst, and washed with EtOAc (450 mL). The aqueous layer was washed with EtOAc (3 x 750 mL). The organic phases were combined and dried with calcium chloride to a water content of less than 1%. The calcium chloride was then  
 15 filtered out and the ethyl acetate was reduced to  $\frac{1}{2}$  its volume. Fresh ethyl acetate was then back added to the solution and the water content of the solution was now 0.09%. Sodium ethyl hexanoate (59 g) and EtOAc (450 mL) were combined and added slowly to organic phase at  
 20 ambient temperature. The mixture was then allowed to granulate for a period of 30 to 45 minutes. The resulting solids were filtered, washed with EtOAc (500 mL) and dried to give 79.0 g (66%) of sodium 6- $\beta$ -hydroxymethylpenicillanate sulfone. The solids were  
 25 further purified via a recrystallized from 2-propanol/water. M.P. 246-245 °C.  $^1\text{H}$ NMR ( $\text{D}_2\text{O}$ )  $\delta$  1.23 (s, 3H), 1.39 (s, 3H), 3.82-3.89 (m, 1H), 3.97-4.10 (m, 3H), 4.85 (s, 1H).

30 Alternate Step 4 - Preparation of 4-Thia-1-azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-,4,4-dioxide, monosodium salt, (2S,5R,6R)

Benzyl 6- $\beta$ -hydroxymethyl-6- $\alpha$ -bromopenicillanate sulfone (1143 g) was placed in a large reaction vessel.  
 35 Benzene (6.2 L) and tributyltin hydride (770 mL) were

5 added and the reaction mixture heated to reflux  
temperature for 2-3 hours. The reaction was monitored  
by TLC, solvent = 1:1 hexane/ Ethyl acetate.

Upon reaction completion the mixture was  
concentrated to an oil to remove the benzene. The oil  
10 was washed with hexane at ambient temperature, until all  
the residual tin byproducts were removed. The material  
was reheated to reflux; ethyl acetate (EtOAc) was added  
to transfer material to single necked flask and  
concentrated. The material was washed with hexane (3 x)  
15 and the product layer dried under reduced pressure.

Half of the oil (549 g) was chromatographed over  
silica gel (1 Kg), with enough methylene chloride to get  
oil into solution, eluting with 7:3 hexane/EtOAc going  
to 3:2 hexane/EtOAc. The product fractions were  
20 combined and concentrated.

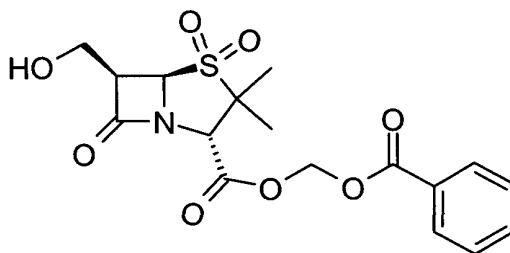
The oil (~540 g) was placed in an autoclave.  
Tetrahydrofuran (1.9 L), 10% palladium on carbon and  
water (300 mL) were added, and the reaction mixture  
hydrogenated at 50 psi, at a temperature of 30 °C, for  
25 approximately 1 hour. Upon reaction completion the  
reaction mixture was filtered through celite, to remove  
catalyst.

The filtrate was concentrated and diluted with  
EtOAc (3.0 L). The aqueous layer was washed with EtOAc  
30 (1.0 L). The combined organic layers were dried with  
calcium chloride, and concentrated to half volume.  
EtOAc (2.5 L) was added followed dropwise by a solution  
of sodium ethyl hexanoate (SEH, 250 g) and EtOAc (1.05  
L). The resulting solids were removed by filtration and  
35 dried in a vacuum oven.

5 To the resulting solids, water (6-800 mL) was added and the pH adjusted to between 0.5 and 1.0 with 4M sulfuric acid. The product was extracted with EtOAc (5 x 1.0 L). The combined organic phases were dried with calcium chloride and filtered through a sparkle filter.  
 10 The filtrate was reduced to half volume and a solution of SEH (115.3 g) and EtOAc (500 mL) added. The mixture was allowed to granulate. The resulting solids were filtered and washed with EtOAc to give desired product.

15 Example 3

Prodrug 1: 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (benzoyloxy)methyl ester, 4,4-dioxide (2S,5R,6R)



20

Prodrug 1, shown above, was prepared as follows.

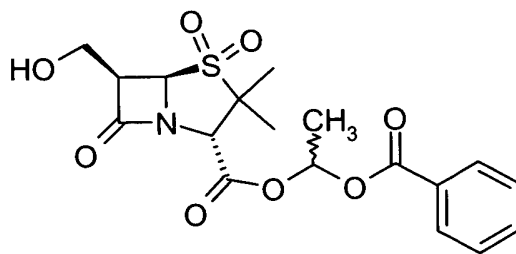
To a stirred solution of 3.13 g (18.4 mmol.) chloromethyl benzoate (Narchem) in 200 mL acetone was  
 25 added 13.8 g (92.1 mmol.) sodium iodide (Aldrich). The resulting mixture was stirred at room temperature overnight. To this solution was added 3.5 g (12.3 mmol.) 4-thia-1-azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide,  
 30 monosodium salt, (2S,5R,6R). The reaction mixture was stirred overnight at room temperature. The reaction

5 mixture was then concentrated in vacuo. Subsequently,  
 200 mL water and 200 mL ethyl acetate were added and the  
 organic layer was separated and washed with brine, dried  
 over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in vacuo.  
 Chromatography on silica gel eluting with 1:1 ethyl  
 10 acetate/ hexanes afforded 8.62 g of an oil. The oil was  
 then recrystallized from ethyl acetate/hexanes yielding  
 5.5 g of crystalline solid. The mother liquor still  
 contained desired compound. Melting point = 102 °C. <sup>1</sup>H-  
 NMR (DMSO): 7.97 (d, 2H, J=7.5Hz), 7.70 (t, 1H,  
 15 J=7.5Hz), 7.56 (t, 2H, J=7.5Hz), 6.12 (d, 1H, J=6Hz),  
 5.99 (d, 1H, J=6Hz), 5.19 (d, 1H, J=5Hz), 5.17 (m, OH),  
 4.62 (s, 1H), 4.20 (m, 1H), 4.03 (m, 1H), 3.73 (m, 1H),  
 1.41 (s, 3H), 1.28 (s, 3H).

20

#### Example 4

Prodrug 2: 4-Thia-1-azabicyclo[3.2.0]heptane-2-  
carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, -  
1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R)



25

Prodrug 2, shown above, was prepared as follows.

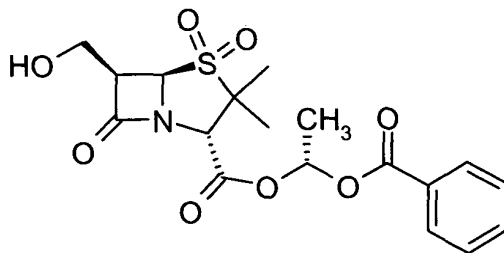
To a stirred solution of 2.5 g (8.77 mmol.) 4-thia-  
 1-azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-  
 (hydroxy-methyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide,  
 30 monosodium salt, (2S,5R,6R) in 20 mL DMF was added 2.1 g

5 (11.4 mmol.) (+/-)-benzoic acid 1-chloro-ethyl ester.  
 The resulting mixture was then heated to 35 °C for 3  
 days. 40 mL water and 100 mL ethyl acetate were added  
 and the layers were separated. The aqueous layer was  
 extracted with ethyl acetate. The combined organic  
 10 layers were washed with water, brine, dried over Na<sub>2</sub>SO<sub>4</sub>,  
 filtered and concentrated in vacuo. Chromatography on  
 silica gel eluting with 1 L of 20 % ethyl acetate/ 80 %  
 hexanes followed by 1 L 1:1 ethyl acetate/ hexanes  
 afforded 1.2 g of an oil that was recrystallized using  
 15 ethyl acetate/ hexanes affording 1 g of white  
 crystalline solid (mixture of 2 diastereomers). Melting  
 point = 133-135°C. <sup>1</sup>H-NMR (d-DMSO, 400MHz): 7.96 (d,  
 2H, J=7.5Hz), 7.71 (t, 1H, J=7.5Hz), 7.55 (t, 2H,  
 J=7.5Hz), 7.07 (q, 0.5H, J=5.4Hz), 7.03 (q, 0.5H,  
 20 J=5.4Hz), 5.19 (d, 1H, J=5Hz), 5.15 (m, OH), 4.54 (s,  
 0.5H), 4.53 (s, 0.5H), 4.19 (m, 1H), 4.01 (m, 1H), 3.73  
 (m, 1H), 1.62 (d, 1.5H, J=5.4Hz), 1.61 (d, 1.5H,  
 J=5.4Hz), 1.44 (s, 1.5H) 1.38 (m, 4.5H).

25

Example 5

Prodrug 3: 4-Thia-1-azabicyclo[3.2.0]heptane-2-  
carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-  
, (1R)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R)



30

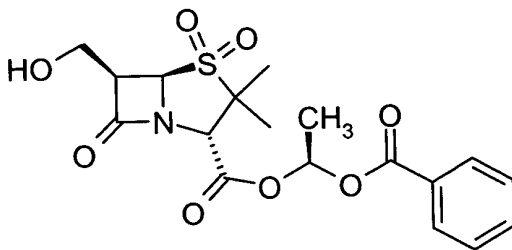
5 Prodrug 3, shown above, was prepared by the method  
of Example 4 by substituting (S)-Benzoic acid 1-chloro-  
ethyl ester and only heating to 30 °C. Melting Point =  
154 °C. <sup>1</sup>H NMR (d-DMSO, 400 MHz): 7.96 (d, J= 7.5 Hz,  
2H), 7.71 (t, J= 7.5 Hz, 1H), 7.55 (t, J= 7.5 Hz, 2H),  
10 7.03 (q, J= 5.4 Hz, 1H), 5.19 (d, J= 5 Hz, 1H), 5.15 (m,  
OH), 4.53 (s, 1H), 4.18 (m, 1H), 4.02 (m, 1H), 3.72 (m,  
1H), 1.61 (d, J= 5.4Hz, 3H), 1.39 (s, 3H), 1.37 (s, 3H).  
[α]<sub>D</sub> = +119 (c = 0.0121, CHCl<sub>3</sub>)

Prodrug 3 was also prepared by the following  
15 alternative route. 52.03 g (182.4 mmol.) of 4-thia-1-  
azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-,4,4-dioxide,  
monosodium salt, (2S,5R,6R), 61.50 g (181.1 mmol.) of  
tetrabutylammonium hydrogen sulfate, and 15.44 g (183.2  
20 mmol.) of sodium hydrogen carbonate were combined at 20  
°C. To this was added 400 mL dichloromethane while  
maintaining a temperature of 20 °C. Next 100 mL of water  
was added. The resulting mixture was stirred at 20 °C  
for 30 minutes. Organic layer was separated and dried  
25 over sodium sulfate, filter and concentrated in vacuo.  
To the resulting residue was added 169.4 g (917.6 mmol.)  
(S)-Benzoic acid 1-chloro-ethyl ester followed by 160 mL  
acetone. The resulting solution was then stirred for 3  
days at room temperature. Reaction was concentrated in  
30 vacuo and chromatographed on silica gel using 40-50 %  
ethyl acetate/ hexanes as eluent. The resulting product  
was crystallized from ethanol followed by  
recrystallization from ethyl acetate/ hexanes.

5 Filtration and drying in vacuo yielded 85.9 g of white crystalline product.

### Example 6

10 Prodrug 4: 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1S)-1-(benzoyloxy)ethyl ester, 4,4-dioxide (2S,5R,6R)



Prodrug 4, shown above, was prepared by the method  
 15 of Example 4 by substituting (R)-benzoic acid 1-chloro-ethyl ester and only heating to 30 °C. <sup>1</sup>H-NMR (d-DMSO, 400MHz): 7.96 (d, 2H, J=7.5Hz), 7.71 (t, 1H, J=7.5Hz), 7.55 (t, 2H, J=7.5Hz), 7.07 (q, 1H, J=5.4Hz), 5.19 (d, 1H, J=5Hz), 5.15 (m, OH), 4.54 (s, 1H), 4.18 (m, 1H),  
 20 4.01 (m, 1H), 3.72 (m, 1H), 1.62 (d, 3H, J=5.4Hz), 1.44 (s, 3H) 1.35 (s, 3H). MS (m/z): 410 (M<sup>-</sup> -1, 100).

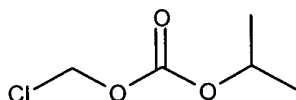


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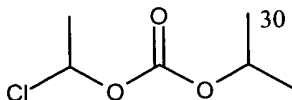
Example 7

Preparation of Carbonic Acids For Synthesizing  
Comparison Prodrugs of 4-thia-1-  
azabicyclo[3.2.0]heptane-2-carboxylic acid,6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide  
(2S,5R,6R)

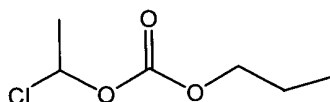
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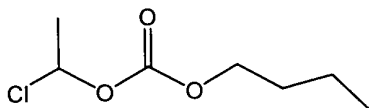
Carbonic acid chloromethyl ester isopropyl ester, shown above, was prepared as follows. To a stirred solution of 10 grams (75.2 mmol.) chloromethyl chloroformate (Fluka) in 100 mL dichloromethane at 0 °C was added 5.8 mL (76 mmol.) isopropyl alcohol followed by 11.93 g (97.8 mmol.) dimethyl amino pyridine (Fluka). The resulting reaction mixture was then allowed to warm to room temperature and stir overnight. The reaction mixture is then diluted with water. The layers were then separated. The organic layer was washed with brine, dried over MgSO<sub>4</sub> filtered and concentrated in vacuo yielding 6 grams of a clear oil. The oil was then carried forwarded as is. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400 MHz): 5.72 (s, 2H), 4.95 (m, 1H, J= 6.2Hz), 1.33 (d, 6H, J=6.2Hz). Note: Better yields are achieved when only 1.05 equivalents of dimethyl amino pyridine are used.



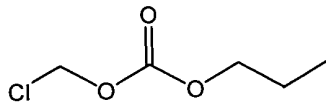
5 (+/-)-Carbonic acid 1-chloro-ethyl ester isopropyl  
 ester was prepared analogous to carbonic acid  
 chloromethyl ester isopropyl ester by substituting  
 chloroethyl chloroformate (Fluka).  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 400  
 MHz): 6.41 (q, 1H,  $J=5.8$ ), 4.94 (m, 1H,  $J=6.2\text{Hz}$ ), 1.81  
 10 (d, 3H,  $J=5.8$ ), 1.32 (m, 6H).



(+/-)-Carbonic acid 1-chloro-ethyl ester propyl  
 15 ester was prepared analogous to carbonic acid  
 chloromethyl ester isopropyl ester by substituting  
 propanol (Aldrich).  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 400 MHz): 6.41 (q,  
 1H,  $J=5.8$ ), 4.17 (m, 2H), 1.81 (d, 3H,  $J=5.8$ ), 1.71 (m,  
 2H), 0.96 (m, 3H).

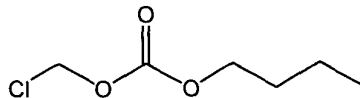


(+/-)-Carbonic acid butyl ester 1-chloro-ethyl  
 ester was prepared analogous to carbonic acid  
 25 chloromethyl ester isopropyl ester by substituting n-  
 butanol (Aldrich).  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ , 400 MHz): 6.41 (q, 1H,  
 $J=5.8$ ), 4.20 (m, 2H), 1.81 (d, 3H,  $J=5.8$ ), 1.67 (m, 2H),  
 1.41 (m, 2H), 0.93 (m, 3H).

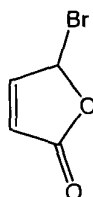


5        Carbonic acid chloromethyl ester propyl ester was prepared analogous to carbonic acid chloromethyl ester isopropyl ester by substituting propanol (Aldrich).  $^1\text{H}$ -NMR ( $\text{CDCl}_3$ , 400 MHz): 5.72 (s, 2H), 4.18 (t, 2H,  $J=6.6\text{Hz}$ ), 1.71 (m, 2H), 0.97 (t, 3H,  $J=7.5\text{Hz}$ ).

10

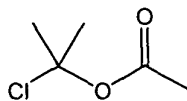


Carbonic acid butyl ester chloromethyl ester was prepared analogous to carbonic acid chloromethyl ester isopropyl ester by substituting n-butanol (Aldrich).  $^1\text{H}$ -NMR ( $\text{CDCl}_3$ , 400 MHz): 5.72 (s, 2H), 4.23 (t, 2H,  $J=6.6\text{Hz}$ ), 1.70 (m, 2H), 1.41 (m, 2H), 0.94 (t, 3H,  $J=7.5\text{Hz}$ ).



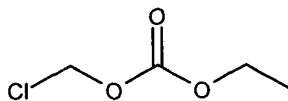
20

(+/-)-5-Bromo-5H-furan-2-one was prepared analogous to Tett. Lett. 22, 34, 1981, 3269-3272.



25

5        Acetic acid-1-chloro-1-methyl ethyl ester was  
 Prepared as in Neuenschwander et al., *Helvetica Chimica*  
 1978; 61: 2047-2058.



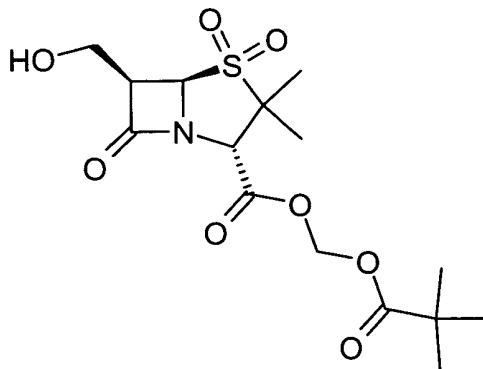
10

Carbonic acid chloromethyl ester ethyl ester was  
 prepared analogous to carbonic acid chloromethyl ester  
 isopropyl ester by substituting ethanol. <sup>1</sup>H-NMR (CDCl<sub>3</sub>,  
 400 MHz): 5.72 (s, 2H), 4.28 (q, 2H, J= 7.1Hz), 1.34 (t,  
 15 3H, J=7.1Hz).

#### Example 8

Preparation of Comparison Prodrugs of  
4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-  
 20 (hydroxymethyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide  
(2S,5R,6R)

Prodrugs of 4-thia-1-azabicyclo[3.2.0]heptane-2-  
 carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-,  
 25 4,4-dioxide (2S,5R,6R) were prepared to demonstrate the  
 unexpectedly improved bioavailability, and physical  
 properties, of the prodrugs of the present invention.  
 Of these Comparison Prodrugs, Comparison Prodrug 1 is  
 described in Example 25 of U.S. Patent No. 4,287,181.  
 30 Comparison Prodrugs 2-15 are novel compounds but fall  
 within the scope of the genus disclosed in U.S. Patent  
 No. 4,287,181.

5 Comparison Prodrug 1:

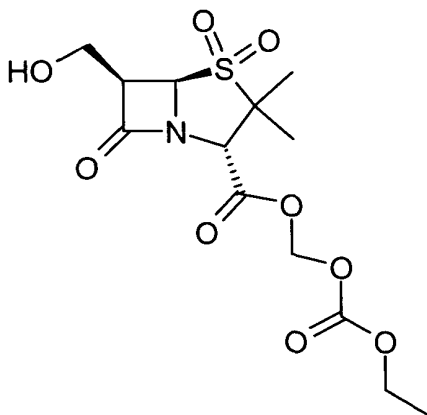
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, (2,2-dimethyl-1-oxopropoxy) methyl ester, 4,4-dioxide (2S,5R,6R)

To a stirred solution of 2.5 g (8.77 mmol.) 4-thia-1-azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-,4,4-dioxide, monosodium salt, (2S,5R,6R) in 20 mL DMF was added (11.4 mmol.) chloromethyl pivalate (Aldrich) and stirred at room temperature overnight. The resulting mixture was then heated to 35 °C for 3 days. 40 mL water and 100 mL ethyl acetate were added and the layers were separated. The aqueous layer was extracted with ethyl acetate. The combined organic layers were washed with water, brine, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated in vacuo. Chromatography on silica gel eluting with 1 L of 20 % ethyl acetate/ 80 % hexanes followed by 1 L 1:1 ethyl acetate/ hexanes yielded an oil. Hexanes (15 mL) were added to the oil and the sample was placed in the refrigerator for 4 days at which point a solid precipitated. It was then concentrated in vacuo yielding 110 mg of white amorphous solid. Melting point

5 = 70-73 °C. <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400 MHz): 5.94 (d, 1H, J=5.4Hz), 5.70 (d, 1H, J=5.4Hz), 4.69 (d, 1H, J=4.1Hz), 4.48 (s, 1H), 4.30 (m, 1H), 4.15 (m, 2H), 1.55 (s, 3H), 1.41 (s, 3H), 1.21 (s, 9H).

Alternately, this compound, which is also known as  
 10 pivaloyloxymethyl 6-β-hydroxymethylpenicillinate sulfone, can be prepared as described in Example 25 of U.S. Patent No. 4,287,181.

Comparison Prodrug 2:



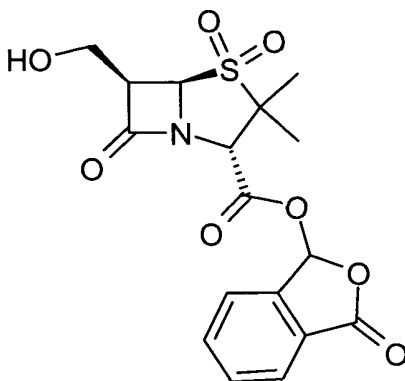
15

4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-  
, [(ethoxycarbonyl)oxy] methyl ester, 4,4-dioxide  
 20 (2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 1 with the exception that carbonic acid chloromethyl ester ethyl ester was substituted for chloromethyl pivalate. Melting point  
 25 (amorphous solid) = 103-105 °C. <sup>1</sup>H-NMR (MeOD, 400 MHz): 5.91 (d, 1H, J=5.8Hz), 5.76 (d, 1H, J=5.8Hz), 4.96 (d,

5    1H, J=4.6Hz), 4.55 (s, 1H), 4.15-4.25 (m, 4H), 3.95 (m, 1H), 1.53 (s, 3H), 1.41 (s, 3H), 1.29 (t, 3H, J=7.1).

Comparison Prodrug 3:

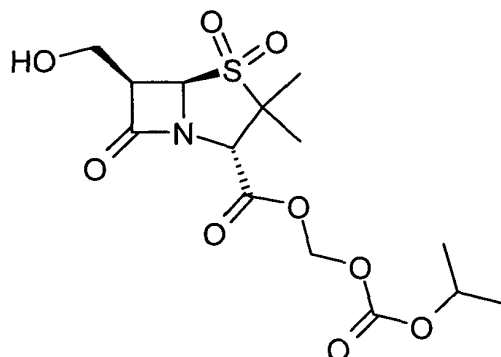


10

4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, 1,3-dihydro-3-oxo-1-isobenzofuranyl ester, 4,4-dioxide (2S,5R,6R)

15        This prodrug was prepared according to the method used to prepare Comparison Prodrug 1 with the exception that 3-bromo phthalide (Aldrich) was substituted for chloromethyl pivalate.

      Upon dilution of DMF with water the desired product  
20    precipitated as an amorphous solid and was filtered and dried in vacuo. MS (m/z): 394 (M<sup>-</sup>) NMR represents a mixture of diastereomers. <sup>1</sup>H-NMR (d-DMSO, 400 MHz):  
      7.8-8.0 (m, 4H), 7.60 (s, 0.5H), 7.59 (s, 0.5H), 5.23 (m, 1H), 5.16 (OH), 4.71 (s, 0.5H), 4.67 (s, 0.5H), 4.20  
25    (m, 1H), 4.02 (m, 1H), 3.74 (m, 1H), 1.47 (s, 1.5H), 1.37 (s, 1.5H), 1.36 (s, 1.5H), 1.31 (s, 1.5H).

5 Comparison Prodrug 4:

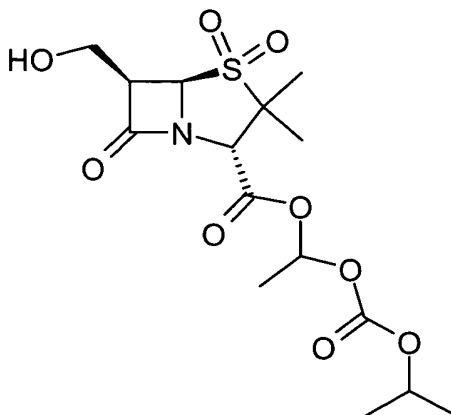
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, [(1-methylethoxy)carbonyl]oxy methyl ester, 4,4-dioxide (2S,5R,6R)

To a stirred solution of (18.4 mmol.) carbonic acid chloromethyl ester isopropyl ester in 200 mL acetone was added 13.8 g (92.1 mmol.) sodium iodide (Aldrich). The  
 15 resulting mixture was stirred at room temperature overnight. To this solution was added 3.5 g (12.3 mmol.) 4-thia-1-azabicyclo [3,2,0]heptane-2-carboxylic acid, 6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, 4,4-dioxide, monosodium salt, (2S,5R,6R) (US 4,287,181) and the  
 20 reaction mixture was stirred overnight at room temperature. The reaction mixture was then concentrated in vacuo. 200 mL water and 200 mL ethyl acetate were added and the organic layer was separated and washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated  
 25 in vacuo. Chromatography on silica gel eluting with 1:1 ethyl acetate/ hexanes. The final product was a reddish oil. MS (m/z):378 (M<sup>-</sup>). <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 5.86 (d, 1H, J=5.8Hz), 5.74 (d, 1H, J=5.8Hz), 5.20 (d, 1H, J=5Hz), 5.17 (m, OH), 4.82 (m, 1H), 4.60 (s, 1H),



5 4.20 (m, 1H), 4.03 (m, 1H), 3.74 (m, 1H), 1.42 (s, 3H),  
1.30 (s, 3H), 1.22 (d, 6H, J=6.2Hz).

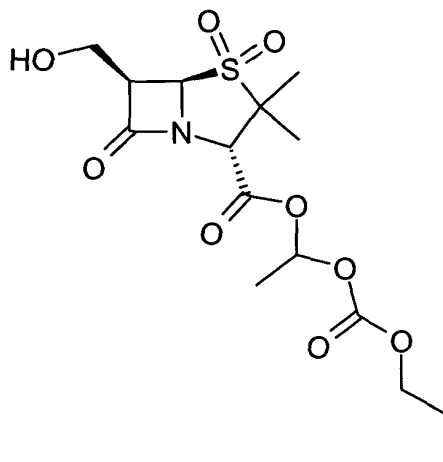
Comparison Prodrug 5:



10

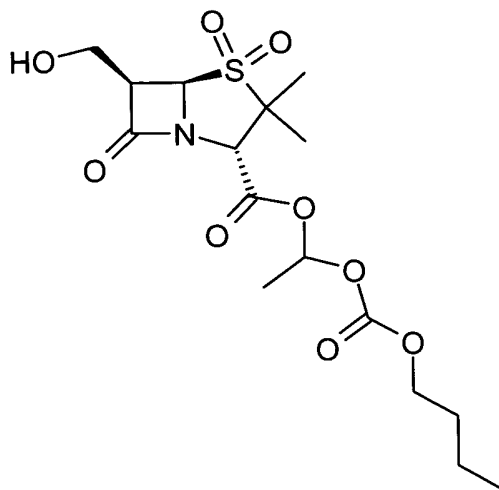
4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-(hydroxymethyl)-3,3-dimethyl-7-oxo-, 1-[[ (1-methylethoxy) carbonyl]oxy] ethyl ester, 4,4-dioxide (2S,5R,6R)

15 This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception that carbonic acid 1-chloro-ethyl ester isopropyl ester was substituted for carbonic acid chloromethyl ester isopropyl ester. MS (m/z):392 (M<sup>-</sup>). NMR is of a reddish  
20 oil that is a mixture of 2 diastereomers <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 6.71 (q, 0.5H, J=5.4Hz), 6.67 (q, 0.5H, J=5.4Hz), 5.20 (d, 1H, J=5Hz), 4.79 (m, 1H), 4.51 (s, 0.5H), 4.49 (s, 0.5H), 4.20 (m, 1H), 4.03 (m, 1H), 3.74 (m, 1H), 1.48 (m, 3H), 1.43 (s, 1.5H), 1.39 (s, 1.5H),  
25 1.33 (m, 3H), 1.22 (m, 6H).

5 Comparison Prodrug 6:

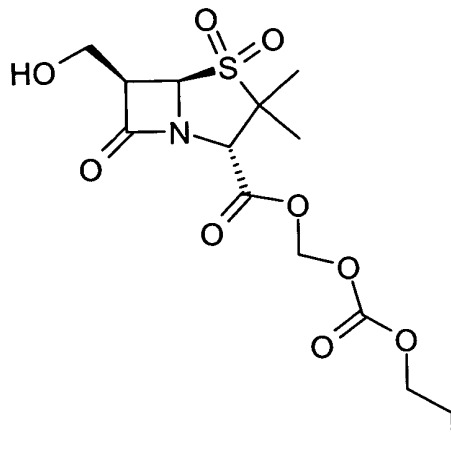
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, 1-  
[(propoxycarbonyl)oxy]ethyl ester, 4,4-dioxide  
(2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception  
 15 that carbonic acid 1-chloro-ethyl ester propyl ester was substituted for carbonic acid chloromethyl ester isopropyl ester. MS (m/z):392 (M<sup>-</sup>). NMR is of a yellow-reddish oil that is a mixture of 2 diastereomers <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 6.72 (q, 0.5H, J=5.4Hz), 6.68 (q,  
 20 0.5H, J=5.4Hz), 5.20 (m, 1H), 4.51 (s, 0.5H), 4.49 (s, 0.5H), 4.20 (m, 1H), 4.03 (m, 3H), 3.74 (m, 1H), 1.59 (m, 2H), 1.48 (m, 3H), 1.39 (s, 1.5H), 1.34 (s, 1.5H), 1.22 (m, 3H), 0.86 (m, 3H).

5 Comparison Prodrug 7:

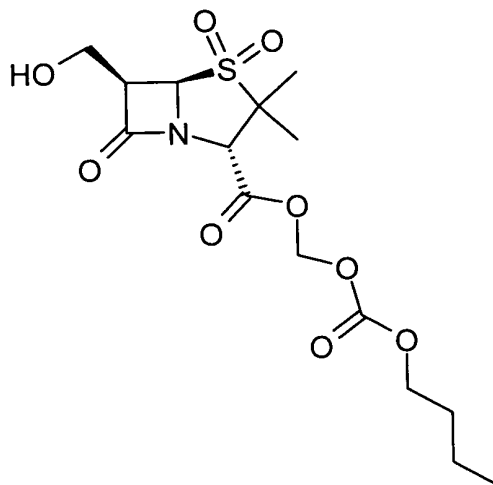
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, 1-  
[(butoxycarbonyl)oxy]ethyl ester, 4,4-dioxide (2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception that carbonic acid butyl ester 1-chloro-ethyl ester was substituted for carbonic acid chloromethyl ester isopropyl ester. MS (m/z):406 (M<sup>+</sup>). NMR is of a yellow-reddish oil that is a mixture of 2 diastereomers <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 6.72 (q, 0.5H, J=5.4Hz), 6.68 (q, 0.5H, J=5.4Hz), 5.20 (m, 1H), 4.51 (s, 0.5H), 4.49 (s, 0.5H), 4.20 (m, 1H), 4.03 (m, 3H), 3.74 (m, 1H), 1.59 (m, 2H), 1.15-1.55 (m, 11H), 0.86 (m, 3H).

5 Comparison Prodrug 8:

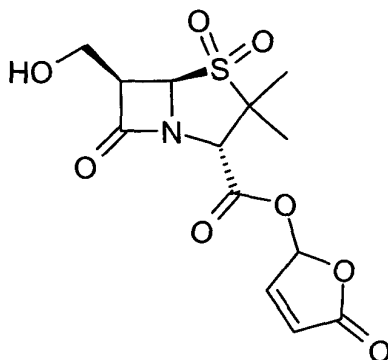
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-  
, [(propoxycarbonyl)oxy]methyl ester, 4,4-dioxide  
(2S,5R,6R)

This prodrug was prepared according to the method used to prep  
 isopropyl ester. The final product was an amorphous  
 white solid. MS (m/z): 378 (M<sup>-</sup>). <sup>1</sup>H-NMR (d-DMSO, 400  
 15 MHz): 5.86 (d, 1H, J=6.2Hz), 5.74 (d, 1H, J=6.2Hz),  
 5.20 (d, 1H, J=5Hz), 5.17 (m, OH), 4.60 (s, 1H), 4.20  
 (m, 1H), 4.10 (m, 2H), 4.03 (m, 1H), 3.74 (m, 1H), 1.60  
 (m, 2H), 1.42 (s, 3H), 1.30 (s, 3H), 0.86 (t, 3H,  
 J=7.5Hz).

5 Comparison Prodrug 9:

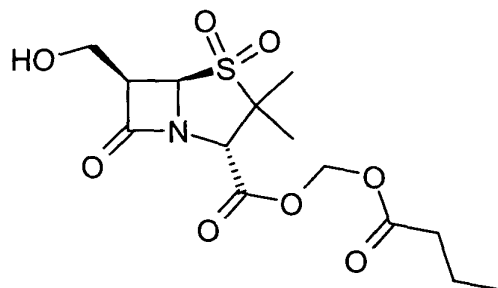
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid,.6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-  
,[(butoxycarbonyl)oxy]methyl ester, 4,4-dioxide  
(2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception  
 15 that carbonic acid butyl ester chloromethyl ester was substituted for carbonic acid chloromethyl ester isopropyl ester. The final product was an amorphous white solid. MS (m/z):392 (M<sup>-</sup>). <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 5.86 (d, 1H, J=6.2Hz), 5.74 (d, 1H, J=6.2Hz),  
 20 5.20 (d, 1H, J=5Hz), 5.17 (m, OH), 4.60 (s, 1H), 4.20 (m, 1H), 4.15 (m, 2H), 4.03 (m, 1H), 3.74 (m, 1H), 1.60 (m, 2H), 1.42 (s, 3H), 1.30 (m, 2H), 1.30 (s, 3H), 0.86 (t, 3H, J=7.5Hz) ..

5 Comparison Prodrug 10:

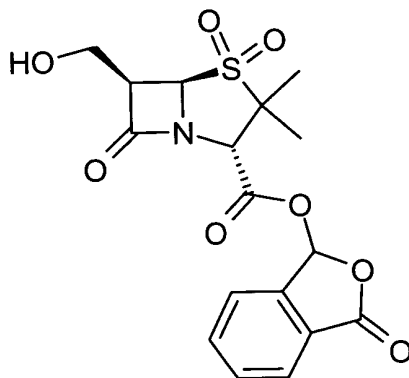
10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-(hydroxymethyl)-3,3-dimethyl-7-oxo-,2,5-dihydro-5-oxo-2-furanyl ester, 4,4-dioxide (2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception that 5-bromo-5H-furan-2-one was substituted for  
 15 chloromethyl pivalate. MS (m/z):344 (M<sup>-</sup>). NMR is a mixture of 2 diastereomers. The product was an amorphous solid. <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 7.81 (m, 0.5H), 7.73 (m, 0.5H), 7.14 (m, 0.5H), 7.10 (m, 0.5H), 6.61 (m, 1H), 5.21 (m, 1H), 5.17 (m, OH), 4.67 (s,  
 20 0.5H), 4.63 (s, 0.5H), 4.20 (m, 1H), 4.03 (m, 1H), 3.74 (m, 1H), 1.42 (s, 1.5H), 1.40 (s, 3H), 1.32 (s, 1.5H).

5 Comparison Prodrug 11:

10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, 6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, (1-oxobutoxy) methyl  
ester, 4,4-dioxide (2S,5R,6R)

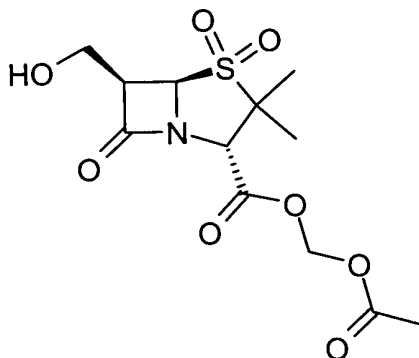
This prodrug was prepared according to the method used to prepare Comparison Prodrug 4 with the exception that chloromethyl butyrate (Acros organics) was substituted for chloromethyl pivalate. The product was a clear oil. MS (m/z): 362 (M<sup>+</sup>). <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 5.86 (d, 1H, J=5.8Hz), 5.74 (d, 1H, J=5.8Hz), 5.20 (d, 1H, J=5Hz), 5.17 (m, OH), 4.55 (s, 1H), 4.20 (m, 1H), 4.01 (m, 1H), 3.74 (m, 1H), 2.35 (m, 2H), 1.52 (m, 2H), 1.40 (s, 3H), 1.30 (s, 3H), 0.86 (m, 3H).

5 Comparison Prodrug 12:

10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-,1,3-dihydro-3-oxo-1-  
isobenzofuranyl ester, 4,4-dioxide (2S,5R,6R)

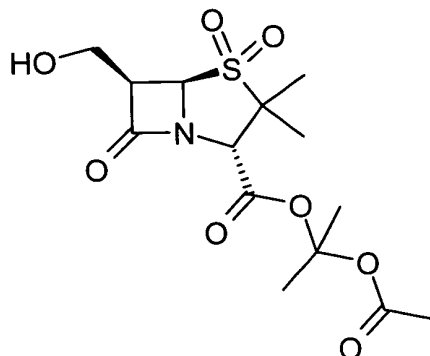
Prepared by chromatography on silica gel of 275 mg of Comparison Prodrug 3 using 5% isopropyl alcohol/ 95% methylene chloride yielded 200mg of a mixture of  
 15 diastereomers followed by 70 mg of a single, (more polar) diastereomer. The product was an amorphous solid. MS (m/z):394 (M<sup>-</sup>) NMR represents the more polar diastereomer. <sup>1</sup>H-NMR (d-DMSO, 400 MHz): 7.95 (d, 1H, J=7.5Hz), 7.90 (m, 1H), 7.81 (d, 1H, J=7.5Hz), 7.77 (m,  
 20 1H), 7.60 (s, 1H), 5.23 (d, 1H, J=5Hz), 5.16 (OH), 4.71 (s, 1H), 4.20 (m, 1H), 4.02 (m, 1H), 3.74 (m, 1H), 1.47 (s, 3H), 1.37 (s, 3H).



5 Comparison Prodrug 13:

10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid,.6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-, (acetyloxy) methyl  
ester, 4,4-dioxide (2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 1 with the exception that bromomethyl acetate (Aldrich) was substituted for  
 15 chloromethyl pivalate. The final product was a viscous oil. MS (m/z):334 (M<sup>-</sup>). <sup>1</sup>H-NMR (d-DMSO, 400 MHz):  
 5.82 (d, 1H, J=6.2Hz), 5.72 (d, 1H, J=6.2Hz), 5.19 (d, 1H, J=5Hz), 5.17 (m, OH), 4.55 (s, 1H), 4.20 (m, 1H),  
 4.01 (m, 1H), 3.74 (m, 1H), 2.08 (s, 3H), 1.40 (s, 3H),  
 20 1.30 (s, 3H).

5 Comparison Prodrug 14:

10 4-Thia-1-azabicyclo[3.2.0]heptane-2-carboxylic acid, .6-  
(hydroxymethyl)-3,3-dimethyl-7-oxo-,1-(acetyloxy)-1-  
methylethyl ester, 4,4-dioxide (2S,5R,6R)

This prodrug was prepared according to the method used to prepare Comparison Prodrug 1 with the exception that acetic acid-1-chloro-1-methyl ethyl ester was substituted for chloromethyl pivalate. The final product was an amorphous solid. MS (m/z):362 (M<sup>-</sup>). <sup>1</sup>H-NMR (CDCl<sub>3</sub>, 400 MHz): 4.68 (d, 1H, J=5.0Hz), 4.40 (s, 1H), 4.31 (m, 1H), 4.15 (m, 2H), 2.06 (s, 3H), 1.92 (s, 3H), 1.82 (s, 3H), 1.58 (s, 3H), 1.47 (s, 3H).

20

Example 9Chemical (pH), Suspension and Plasma Stability

The stability of Prodrug 3 was evaluated with regards to (1) chemical stability while in solutions of various pH, (2) maintaining potency while in suspension, and (3) chemical stability in plasmas from various mammals to assess the ability of Prodrug 3 to resist hydrolysis prior to absorption and then to rapidly hydrolyze to form 6-β-HMPAS after absorption.

5           Chemical stability of Prodrug 3, in solution, as  
compared to the sodium salt of 6- $\beta$ -HMPAS (hereinafter  
"Na HPMAS") and lithium clavulanate in solution, was  
assessed at pH 1, 2, 2.5, 4.0, 5.0, 6.5, and 7.4.  
Buffers were appropriately formulated to achieve the  
10 desired pH of each incubation. The incubation consisted  
of 990  $\mu$ l of the appropriate pH buffer and was initiated  
with the addition of 10  $\mu$ l of a 10 mM stock of compound  
in 100% methanol (final concentration = 100  $\mu$ M). Serial  
samples (20  $\mu$ l) were taken at 0, 10, 20, 40, 60, 120,  
15 and 240 minutes by an auto injector and injected  
directly onto an HPLC in-line with a LC/MS single  
quadropole mass spectrometer system used for analyte  
detection. The temperature of the incubation was  
regulated with a 96-well heat block. Incubations were  
20 performed at 25°C and 37°C. The LC/MS system was run in  
the negative ion mode. Selective ion monitoring of the  
appropriate  $[M-H]^-$  for each analyte was used for  
detection and quantitation of remaining compound at each  
timepoint. The peak response at each time point was  
25 expressed as a percentage of that obtained at time = 0.  
A degradation rate constant ( $k_d$ ) was obtained by  
regression of these percentages from time = 0 minutes to  
time = 240 minutes. An apparent first-order half-life  
could then be estimated as  $\ln 2/k_d$ . These determinations  
30 were completed in duplicate and the average was  
reported.

As shown in the following table, Prodrug 3  
demonstrated excellent acid stability and adequate  
stability near neutral pH for absorption. Also, Na-

5 HMPAS demonstrated superior solution stability compared to clavulanate, particularly at low pH.

The stability of Prodrug 3 in suspension (unbuffered in 0.5% methylcellulose at 25 °C) was also evaluated to determine the effect of time in suspension  
10 upon potency. As shown below, Prodrug 3 maintained greater than 90% of its potency after storage at room temperature for 10 days. By contrast, only 40% of potency is retained with clavulanate in the Augmentin suspension if left at room temperature for the same time  
15 period (See Mehta, A.C., S. Hart-Davies, J. Payne and R.W. Lacey, 1994. Stability of amoxicillin and potassium clavulanate in a co-amoxicillin-clavulanate oral suspension. *J. Clin. Pharm. Ther.* 19:313-315.)).

5

	pH					
Solution Stability (100 µM)	7.4	6.5	5.0	4.0	2.5	1.2
	Half-life (Hours)					
Prodrug 3 (25 °C)	2.7	>24	>24	>24	>24	>24
Prodrug 3 (37 °C)	0.6	4.5	>24	>24	>24	>24
Na-HMPAS (25 °C)	>24	>24	>24	>24	>24	>24
Na-HMPAS (37 °C)	>24	>24	>24	>24	>24	>24
Clavulanate (25 °C)	>24	>24	>24	>24	1.2	0.6
Clavulanate (37 °C)	>24	>24	>24	>24	0.9	ND
Prodrug 3 Suspension Stability	Day 1	Day 3	Day 5	Day 7	Day 10	
	Potency (percent)*					
1.51 mg/ml** (10 mg/kg rat dose preparation)	ND	103	98	104	91**	*

\*Potency assessed as a percentage of the target concentration as assayed by LC/MS of solubilized standards. Values represent an average of duplicate determinations. ND = not determined

\*\* pH of initial suspensions ~6.8 and fell to 4.7 by day 10

\*\*\* diastereomer mixture essentially unchanged ~ 95:5

15 Plasma stability of Prodrug 3, Na-HMPAS and lithium clavulanate were determined, in mouse, rat, dog, monkey

5 and human plasma, using plasma that was prepared and  
subjected to one freeze/thaw cycle prior to use. The  
incubation consisted of 990  $\mu$ l of plasma preincubated  
for 5 minutes at 37 °C in a 96-well heat block. The  
incubation was initiated with the addition of 10  $\mu$ l of a  
10 10 mM stock of compound in 100% methanol. Serial  
aliquots (100  $\mu$ l) were removed and transferred to 200  $\mu$ l  
of 75/25 acetonitrile/3% perchloric acid at 0, 1, 2, 5,  
10, 20, 30, and 60 minutes. The samples were  
centrifuged, the supernatants transferred to injection  
15 vials, and 20  $\mu$ l was injected onto the HPLC in-line with  
a LC/MS single quadropole mass spectrometer. The LC/MS  
system was run in the negative ion mode. Selective ion  
monitoring of the appropriate  $[M-H]^-$  for each analyte was  
used for detection and quantitation of remaining  
20 compound at each timepoint. The peak response at each  
time point was expressed as a percentage of that  
obtained at time = 0. A degradation rate constant ( $k_d$ )  
was obtained by regression of these percentages from  
time = 0 minutes to time = 240 minutes. An apparent  
25 first-order half-life was then be estimated as  $\ln 2/k_d$ .  
These determinations were completed in duplicate and the  
average was reported as shown below.

5

Plasma Stability (100 $\mu$ M)	Mouse	Rat	Dog	Monkey	Human
	Half-life				
Prodrug 3 37 °C	2.8 min	<2.0 min	2.8 min	3.6 min	<2.0 min
Na-HMPAS 37 °C	3.9 hr	3.4 hr	> 4 hr	>4 hr	>4 hr
Clavulanate 37 °C	>4 hr	3.3 hr	2.8 hr	3.6 hr	2.6 hr

Prodrug 3 demonstrated excellent acid stability and adequate stability near neutral pH for absorption. Hydrolysis rates of Prodrug 3 in the plasma of all species tested, demonstrate efficient enzymatic hydrolysis to yield 6- $\beta$ -HMPAS upon absorption. Na-HMPAS demonstrated superior solution stability compared to clavulanate, particularly at low pH. Although both compounds are labile in plasma, Na-HMPAS shows improved human plasma stability.

#### Example 10

##### Inhibitory activity of Prodrug 3 at intestinal pH values

It is thought that clavulanate produces diarrhea in the human GI tract since it combines with residual amoxicillin to selectively kill essential components of the normal flora. Clavulanate is not a prodrug, and

5 thus the 40% that remains unabsorbed from the intestine  
is the same active molecule as the 60% that is absorbed  
into circulation. While the pH of the stomach and  
proximal small intestine is acidic, in a study by Berry,  
V. et al., *Efficacy of a pharmacokinetically enhanced*  
10 *formulation of amoxicillin/clavulanate against*  
*experimental respiratory tract infection in rats caused*  
*by Streptococcus pneumoniae*, Abstract B988, 41<sup>st</sup>  
Inter-science Conference on Antimicrobial Agents and  
Chemotherapy, December 16-19<sup>th</sup>, 2001 Chicago, IL., found  
15 that the median pH of 24h stool samples collected from  
subjects with diarrhea was 6.4 (range 5.4-7.8).

As shown in Example 7, Prodrug 3 is very stable and  
does not readily convert to 6- $\beta$ -HMPAS at pH < 6.5. In  
order to compare the beta-lactamase inhibitory activity  
20 of Prodrug 3 and Na-HMPAS under pH conditions found in  
the human large intestine, we determined the IC<sub>50</sub> of both  
compounds against a TEM-1 beta-lactamase at pH 6.0 and  
7.0. The data indicate that at pH 6.0, where there  
should be no conversion of the prodrug to the active  
25 form of the inhibitor, Prodrug 3 is inactive against  
TEM-1 beta-lactamase (IC<sub>50</sub> >100  $\mu$ M). In contrast, at pH  
7.0 where conversion of the prodrug to active inhibitor  
occurs with a half-life of 33 minutes, the resulting 6-  
 $\beta$ -HMPAS generated results in a much greater level of  
30 inhibition of this beta-lactamase than was observed at  
pH 6.0.



5

		IC <sub>50</sub> (μM)	
		<u>Prodrug 3</u>	<u>6-β-HMPAS</u>
	E. coli TEM-1 pH 6.0	> 100	0.37
10	E. coli TEM-1 pH 7.0	2.2	0.12

### Example 11

#### Absorption (Oral In Vivo Bioavailability)

As Prodrug 3 has a solution half-life of 30 minutes  
 15 at neutral pH and, in the presence of esterases, the  
 prodrug is hydrolyzed within a few minutes, an *in vitro*  
 assessment using the human Caco-2 cell line was  
 determined to be inappropriate to measure prodrug  
 absorption. Instead, an *in vivo* model for absorption  
 20 was found to be more relevant for assessing absorption  
 of the prodrugs of the present invention. Further, the  
 correlation of fraction absorbed in the rat to that of  
 human has been studied in several marketed agents and  
 the correlation has been shown to be quite good (corr =  
 25 0.971) (See Chiou, W.L. and A. Barve, 1998. Linear  
 correlation of the fraction of oral dose absorbed of 64  
 drugs between humans and rats. *Pharm. Res.* 15:1792-  
 1795.). Based upon this correlation, the rat was used  
 to predict human absorption. It should be further  
 30 appreciated that 6-β-HMPAS demonstrated minimal hepatic  
 extraction as suggested by rat and human hepatocytes.  
 Thus, oral bioavailability assessments in rats should  
 correlate well with the fraction absorbed in humans.

Estimates of oral bioavailability were conducted on

5 Na-HMPAS, Prodrugs 1, 2, 3 and 4, as well as the  
fourteen comparison prodrugs of Example 8, each using  
sets of three Sprague-Dawley rats (200-225 gm) equipped  
with surgically implanted jugular vein catheters. The  
selection of dose vehicles, for the oral studies,  
10 depended upon the physical state of the compound being  
tested. All compounds that were orally administered,  
with the exception of Prodrug 1, were in the form of an  
oil or an amorphous solid. As such, these compounds  
were administered in a solution (PO) dosage form using a  
15 70/20/10 water/Chremophore/ethanol vehicle.

The oral prodrug doses were prepared to deliver a  
10 mg/kg equivalent dose of 6- $\beta$ -HMPAS at a dose volume  
of 10 ml/kg. Na-HMPAS was administered intravenously  
at a dose of 10 mg/kg to establish bioavailability  
20 estimates (6- $\beta$ -HMPAS equivalent).

Blood samples were taken at 0, 15, 30 min., 1, 2,  
3, and 5 hr. after dosing. The samples were then  
processed for plasma and stored at -20 °C prior to  
analysis. Samples were assayed for 6- $\beta$ -HMPAS, as  
25 described below, and then the mean concentration versus  
time profiles for oral and intravenous administration  
were determined. The area under the plasma  
concentration versus time curve ( $AUC_{0-t_{last}}$ ) was  
calculated from time 0 to the last quantifiable  
30 timepoint using linear trapezoidal approximation. The  
terminal elimination rate constant ( $K_{el}$ ) was estimated by  
regression of the plasma concentration data from the  
apparent beginning of the elimination phase to the last  
sample point. An elimination half-life was estimated as  
35  $\ln 2/K_{el}$ . The area from  $t_{last}$  to infinity ( $AUC_{t_{last}-\infty}$ )

- 5 was estimated at  $C_{est_{t_{last}}}/K_{el}$  where  $C_{est_{t_{last}}}$  represents the estimated concentration at the last time point in which drug was quantified based on the regression analysis. The total area under the curve ( $AUC_{0-\infty}$ ) was estimated as the sum of  $AUC_{0-t_{last}}$  and  $AUC_{t_{last}-\infty}$ . Bioavailability (F)
- 10 was expressed as  $AUC_{(0-\infty)po} \times Dose_{iv} / AUC_{(0-\infty)iv} \times Dose_{po}$ .

The mean bioavailabilities found were as shown below.

5

Compound	Mean Bioavailability	Standard Deviation
Na-HMPAS	6.0	1.6
Prodrug 1	113.1	10.7
Prodrug 2	102.0	11.1
Prodrug 3	92.4	6.0
Prodrug 4	111.1	8.8
Comparison Prodrug 1	55.4	4.7
Comparison Prodrug 2	6.2	1.0
Comparison Prodrug 3	27.2	2.6
Comparison Prodrug 4	6.8	0.9
Comparison Prodrug 5	46.5	1.4
Comparison Prodrug 6	60.9	6.1
Comparison Prodrug 7	40.3	10.8
Comparison Prodrug 8	7.9	1.5
Comparison Prodrug 9	12.3	2.0
Comparison Prodrug 10	10.9	1.7
Comparison Prodrug 11	33.1	5.4
Comparison Prodrug 12	20.4	1.0
Comparison Prodrug 13	37.6	3.7
Comparison Prodrug 14	15.4	3.9

As shown above, the prodrugs of the present invention have significantly better bioavailabilities than does 6- $\beta$ -HMPAS, the previously known Comparison

5 Prodrug 1, or the prior generically disclosed prodrugs  
(Comparison Prodrugs 2-14).

Assay Description:

10 In this assay, the analytes of interest were back  
extracted into the aqueous phase following acetonitrile  
precipitation and treatment with chloroform. This  
provided approximately a 2 fold concentration factor  
without having an evaporation and reconstitution step.

15 Detection was afforded through the use of LC/MS/MS  
in negative ion operation. Single quadrapole operation  
was inadequate for selective detection of the analyte.

The pH of the loading solvent (95:5 20 mM ammonium  
formate/acetonitrile; solvent A) was ~ 5.0.

20 The analytical column was an Phenomenex AQUA C18  
4.6 x 50 mm.

All sample preparation was completed in 96-well 1.2  
mL MARSH-tubes. The samples were prepared as follows.  
The Plasma sample (200 µl) was added to 400 µl of 95:5  
acetonitrile:20 mM ammonium formate containing 5 µg/ml  
25 sulbactam as an internal standard. The samples were  
centrifuged at 3000 rpm for 10 min in a table top  
centrifuge. The supernatant (400 µl) was then  
transferred to clean 1.2 ml MARSH<sup>®</sup> tubes. Chloroform  
(600 µl) was added to the samples, which were then  
30 mixed, and subsequently centrifuged at 3000 rpm for 10  
minutes. The transferred aqueous phase was then removed  
from top (~ 100 µl) and then analyzed.

## 5 Mass Spectrometry Conditions:

Mass Spectrometer: API-3000 operated in negative ion mode using Turbo spray (electrospray)

Ionization voltage: -3000V

Orifice voltage: -25 eV

10 Collision energy: 30 eV

Nebulization and Heat gas adjusted as needed

Reaction Monitoring:

6- $\beta$ -HMPAS: 262 => 218

15 Sulbactam (IS): 232 =&gt; 140

Amoxicillin: 364 => 223

Clavulanic acid: 198 => 136

Run time: 3 min.

RT: ~ 2 min for all analytes

20 Injection Volume: 20  $\mu$ l

HPLC Conditions:

Solvent A: 95:5 20 mM ammonium formate: acetonitrile

Solvent B: 95:5 acetonitrile: 20 mM ammonium formate

Analytical Flow: 1 ml/min

25 Flow to Mass Spectrometer: ~100  $\mu$ l/min

Ballistic Gradient Schedule:

0 - 0.5 min 100 % A

0.5- 1 min 100% A to 100% B

1-1.5 min 100% B

30 1.5 - 2.0 min 100% B to 100% A

LLOQ = 0.1  $\mu$ g/ml for 6- $\beta$ -HMPAS and amoxicillin, 0.5

$\mu$ g/ml clavulanic acid

ULOQ = 50  $\mu$ g/ml (All)

Regression = linear 1/x weighting

35 Column life ~ 300 - 400 injections

5

Portal Vein Studies in Rats: Systemic exposure of Prodrug 3 was also assessed in portal vein cannulated rats following oral administration of the prodrug at a dose of 100 mg/kg. Whole blood aliquots were stabilized  
10 in ice cold acid immediately upon sampling at 5, 15 and 30 minutes post dose. No levels of Prodrug 3 were detected in any of the samples (assay LLOQ of 100 ng/ml). These results suggest that upon absorption, Prodrug 3 undergoes rapid hydrolysis to yield 6- $\beta$ -HMPAS  
15 prior to exposure to the liver and circulation throughout the body.

#### Example 12

##### In Vitro Screens

20 Biochemical activity against  $\beta$ -lactamases from community respiratory pathogens: Only three beta-lactamase inhibitor molecules exist in the marketplace: sulbactam, clavulanate and tazobactam. All three inhibit type A penicillinases found in a broad range of  
25 bacteria. Of these, only clavulanate is directed toward oral therapy of community respiratory infections. Na-HMPAS was tested against a collection of cell-free penicillinases commonly found in *H. influenzae* and *M. catarrhalis* that are resistant to ampicillin. The data  
30 in the following table indicates that Na-HMPAS is equivalent to lithium clavulanate against the ROB-1 and TEM-1 enzymes from *H. influenzae*. All three beta-lactamase inhibitors were very potent against the BRO-1 and BRO-2 penicillinases found in *M. catarrhalis*, with  
35 sulbactam being the most active (Table 1). A broader

- 5 analysis of  $\beta$ -lactamases from 30 recent clinical isolates of *M. catarrhalis* showed that Na-HMPAS was effective at inhibiting the BRO-1 and BRO-2 enzymes from all of these strains, with an average  $IC_{50}$  of 0.19  $\mu M$ .

IC <sub>50</sub> s of $\beta$ -lactamase inhibitors against $\beta$ -lactamases in cell extracts				
	$\beta$ -lactamase	IC <sub>50</sub> ( $\mu M$ ) *		
Strains	Type	Sulbactam	6- $\beta$ -HMPAS	Clavulanate
<i>H. influenzae</i> ATCC43334	ROB-1	3.40	0.01	0.04
<i>H. influenzae</i> 54A1173	TEM-1	4.78	0.03	0.02
<i>M. catarrhalis</i> 87A1178 ATCC43617	BRO-2	0.03	0.32	0.11
<i>M. catarrhalis</i> 87A1115	BRO-1	0.011	0.143	0.14

- 10 \*All inhibitory values are determined against the chromogenic cephalosporin in a colorimetric assay.

Biochemical activity against  $\beta$ -lactamases from other pathogens, including those associated with skin

- 15 infections: Na-HMPAS was comparable to clavulanate in terms of its inhibitory potency against a wide variety of TEM extended spectrum beta-lactamases (ESBLs) while Na-HMPAS and clavulanate were usually comparable in potency against ESBLs.



5

Inhibition of selected extended spectrum $\beta$ -lactamases (ESBLs) ( $IC_{50}$ , $\mu M$ )				
Inhibitor				
	$\beta$ -lactamase	$IC_{50}$ ( $\mu M$ ) *		
Strains	Type	Sulbactam	6- $\beta$ -HMPAS	Clavulanate
<i>E. coli</i> ATCC35218	TEM-1	6.85	0.14	0.05
<i>E. coli</i> 51A1101	TEM-1	4.76	0.07	0.02
<i>K. pneumoniae</i> CF104	TEM-3	0.55	<0.003	<0.003
<i>K. pneumoniae</i> CF504	TEM-5	4.68	0.08	<0.003
<i>K. pneumoniae</i> B L-1	TEM-10	23.19	0.39	>100
<i>K. pneumoniae</i> MCV37	TEM-12	5.86	0.27	<0.003
<i>K. pneumoniae</i> CF1304	TEM-16	5.80	0.09	0.06
<i>K. pneumoniae</i> E264	TEM-17	10.36	0.66	0.004
<i>K. pneumoniae</i> CF1104	TEM-24	10.48	7.13	0.01
<i>E. coli</i> CF1609	TEM-25	2.58	0.04	0.02
<i>K. pneumoniae</i> 5657	TEM-26	0.56	0.08	0.02
<i>Serratia</i> S6	Sme-I	15.65	0.68	19.55

\*All inhibitory values are determined against the chromogenic cephalosporin in a colorimetric assay.

5           In general, it can be concluded that Na-HMPAS is comparable to lithium clavulanate.

Susceptability assay for  $\beta$ -lactamase producing species *H. influenzae* and *M. catarrhalis*: The *in vitro* activity  
10 of various ratios of 6- $\beta$ -HMPAS and amoxicillin was assessed using clinical isolates of *H. influenzae* and *M. catarrhalis* that produce  $\beta$ -lactamase. The NCCLS (National Committee for Clinical Laboratory Standards) approved susceptibility method for Augmentin uses a  
15 fixed 2:1 ratio of amoxicillin/clavulanate. The results indicated that for 46 beta-lactamase (+) strains of *H. influenzae*, the amoxicillin MIC<sub>50</sub> and MIC<sub>90</sub> values (i.e., the minimal inhibitory concentrations required to prevent the growth of either 50% or 90% of the isolates  
20 tested) for both amoxicillin/clavulanate and amoxicillin/6- $\beta$ -HMPAS were 1 and 2  $\mu$ g/ml, respectively, while the values for 2:1 amoxicillin/sulbactam were 4 and 8  $\mu$ g/ml. Note that the numbers refer to the amoxicillin concentration in the mixture.

25           For 48 isolates of *M. catarrhalis*, the MIC<sub>50</sub> and MIC<sub>90</sub> values for amoxicillin/clavulanate were  $\leq 0.125$  and 0.25  $\mu$ g/ml, and 0.5 and 1.0  $\mu$ g/ml for amoxicillin/6- $\beta$ -HMPAS, respectively. Values for amoxicillin/sulbactam (2:1) were 0.25 and 1.0  $\mu$ g/ml. Thus, MICs obtained with  
30 whole cells do not always correlate well with inhibitor potencies against cell-free beta-lactamases, as sulbactam was consistently more potent against the BRO-1/2 enzymes found in *M. catarrhalis*.

5    Susceptability assay for Non- $\beta$ -lactamase producing  
    species *Streptococcus pneumoniae*: The *in vitro* activity  
    of the combination was compared with that observed with  
    amoxicillin alone against clinical isolates of *S.*  
    *pneumoniae* that were classified as penicillin-  
10   susceptible, -intermediate and -resistant. Some of  
    these strains showed high- level resistance to  
    penicillin and amoxicillin with MICs in the 4-8  $\mu\text{g/ml}$   
    range. As expected for a pathogen with PBP-based  
    resistance, results for isolates of *S. pneumoniae*  
15   confirmed that the presence of inhibitors had no  
    influence on amoxicillin MICs.

    The amoxicillin MIC<sub>50</sub>s and MIC<sub>90</sub>s for 21 penicillin-  
    resistant strains (penicillin MICs from 1-8  $\mu\text{g/ml}$ ) were  
    2 and 4  $\mu\text{g/ml}$  for amoxicillin alone and for all beta-  
20   lactamase inhibitor combinations tested at  
    amoxicillin/inhibitor ratios of 2:1, 7:1 and 14:1.  
    Finally, all combinations were tested against a group of  
    21 penicillin-intermediate *S. pneumoniae* and 12  
    penicillin-susceptible isolates. Again, all of the MICs  
25   in both groups reflected the amoxicillin component of  
    the combination. Amoxicillin MICs for the intermediate  
    group ranged from 0.03 to 1  $\mu\text{g/ml}$  and the MICs for the  
    susceptible group were  $\leq 0.0156$  to 0.06  $\mu\text{g/ml}$ .

30   Assay Methodology: The assay was performed according to  
    the method described in NCCLS Document M7-A4, December  
    1997 and M100-S12, 2002, Methods for Dilution  
    Antimicrobial Susceptibility Tests for Bacteria that  
    Grow Aerobically- Approved Standard.

5        PREPARATION OF FROZEN STOCKS: *H. influenzae* are grown on chocolate agar plates. Colonies are suspended into Haemophilus test medium (HTM, Remel Diagnostics) which has been pH adjusted to 7.4 with 1N NaOH and filter sterilized. This is mixed with 50% glycerol to a  
10 final concentration of 20% glycerol. Growth of *Streptococcus pneumoniae* and *Moraxella catarrhalis* is scraped off sheep blood agar plates and placed into Mueller Hinton broth plus 5% lysed sheep blood. For freezing, 50% glycerol is added to a final concentration  
15 of 20%. All are frozen at -70 °C.

      PREPARATION OF DRUG PLATES: 96-well microtiter plates are used for the drug dilutions. All drugs are weighed out in sufficient quantity to make a 4X working stock solution. Drug is solubilized in DMSO or other  
20 appropriate solvent, dissolved to volume in testing medium, and 100 µl is serially diluted twofold through a series of 10 drug wells each containing an initial volume of 100 µl medium [columns 1 through 10] and 1 drug well with no inoculum [column 11]. Column 12 is a  
25 bacterial inoculum control well containing no drug. Final volume in each well is 100 µl.

      Drug plates for *H. influenzae* are serially diluted in HTM which has been pH adjusted to 7.4 with 1 N NaOH and filter sterilized. The other two species are  
30 diluted in Mueller Hinton broth plus 5% lysed horse blood. Control compounds are run with each assay. Drug plates are frozen at --70 °C and thawed on the day of use.

      GROWTH OF INOCULUM: *H. influenzae* are grown  
35 overnight on Mueller Hinton agar plates with 1%

5 hemoglobin and with 1% GCHI [chocolate agar plates] in a  
5% CO<sub>2</sub> incubator overnight at 37 °C. *S. pneumoniae* and  
*M. catarrhalis* are grown on Mueller Hinton agar  
containing 5% sheep blood under the same conditions.

PREPARATION OF INOCULUM: Overnight growth from an  
10 agar plate was taken and resuspended in the appropriate  
test medium. All suspensions were adjusted to a  
standard OD by spectrophotometry on the day of the assay  
using Haemophilus test medium (HTM) broth for *H.*  
*influenzae* or cation supplemented Mueller Hinton broth  
15 plus 5% lysed horse blood (for *S. pneumoniae* and *M.*  
*catarrhalis*) to a turbidity corresponding to a 0.5  
McFarland standard suspension (about 1 to 2 x 10<sup>8</sup>  
CFU/ml). This suspension had an OD<sub>625</sub> of 0.14. To  
obtain a final inoculum of 2-7 x 10<sup>5</sup> cfu/ml in the well  
20 (final volume of 200 µl), the following dilutions were  
made from the McFarland stock:

All *H. influenzae* strains were diluted 1/100 in  
HTM.

All *S. pneumoniae* and *M. catarrhalis* were diluted  
25 1:100 in cation supplemented Mueller Hinton broth  
containing 5% lysed horse blood.

INOCULATION OF PLATES: 100 µl of the diluted  
inoculum is added to each 100 µl of diluted drug in the  
sterile test plate. Total volume for the test is 200 µl  
30 per well. Strains that have been diluted appropriately  
(see above) into microtiter wells will have a final  
inoculum of 2 to 7 x 10<sup>5</sup> CFU/ ml. These cell inocula are  
confirmed on random plates by performing viability  
counts of the wells at zero time. This is easily done  
35 by removing 10 µl from the well and diluting it in 10 ml

5 of sterile, physiological saline (1:1000 dilution).  
After vortexing, 100  $\mu$ l of the diluted suspension is  
spread on a blood agar plate or a chocolate agar plate  
in the case of *H. influenzae*. Following incubation, the  
presence of 50 colonies indicates an inoculum density of  
10  $5 \times 10^5$  CFU/ml. Cultures used for inocula into the  
microtiter trays are streaked for single colonies and  
observed for typical colony morphology.

INCUBATION OF MICROTITER PLATES: After placing  
plastic lids on the plates, microtiter plates are  
15 incubated in plastic boxes in a controlled humidity  
incubator to prevent evaporation from the wells. Plates  
are stacked no more than 4 high. All microtiter plates  
are incubated aerobically at 35 °C for 24 hours.

All plates are incubated and read after 24 hours  
20 and results are recorded only if the control drugs for  
the NCCLS type strain *H. influenzae* ATCC 49766 and *S.*  
*pneumoniae* ATCC 49619 is within the published range  
(NCCLS, M100-S12, 2002).

### 25 Example 13

#### In Vivo Efficacy

The *in vivo*  $\beta$ -lactamase inhibitory activity of 6- $\beta$ -  
HMPAS was determined for the  $\beta$ -lactamase (+) pathogens  
that were evaluated in our pre-clinical infection  
30 models. The data for these selected isolates indicated  
that 6- $\beta$ -HMPAS is generally equivalent to clavulanate  
for  $\beta$ -lactamases from the respiratory pathogens *H.*  
*influenzae* and *M. catarrhalis*.

Gerbil otitis media model: In this model, Mongolian  
35 gerbils were challenged with *S. pneumoniae* or *H.*

5 *influenzae*. Female Mongolian gerbils (50-60 g) were  
challenged with log 5-6 CFU of *H. influenzae* or *S.*  
*pneumoniae* delivered in a 50  $\mu$ L volume into the left  
tympanic bulla. Eighteen hours post-challenge, a dose-  
response therapeutic regimen was initiated (t.i.d. for 2  
10 days) with the combination of Amoxicillin and Prodrug 3  
(7:1) delivering the dosage in a 500  $\mu$ L volume of 0.5%  
methylcellulose vehicle. ED50s were calculated from  
bacterial clearance data on day 4 post-challenge.

The amoxicillin/Prodrug 3 and Augmentin  
15 combinations were equally effective in clearing this  
pathogen with ED<sub>50s</sub> of 6-10 mg/kg, and outcomes for the  
7:1 and 14:1 combinations were equivalent. Amoxicillin  
as a single agent failed against this pathogen.

Murine systemic infection model: In this model,  
20 Female CF-1 or DBA/2 mice (18-20g) were challenged  
intraperitoneally with log 2-6 CFU of *S. pneumoniae*, *S.*  
*aureus* or *M. catarrhalis* suspended in broth, 10% mucin  
or 3% Brewers yeast, respectively, and delivered in a  
500  $\mu$ L volume. One hour post-challenge, a dose-  
25 response therapeutic regimen was initiated (b.i.d. for 1  
day) with the combination of Amoxicillin/Prodrug 3 (7:1)  
delivering the dosage in a 200  $\mu$ L volume of 0.5%  
methylcellulose vehicle. ED50s were calculated from the  
survival data on day 4 post-challenge.

30 The combination of amoxicillin/Prodrug 3 was  
effective in protecting mice from death from all of  
these  $\beta$ -lactamase (+) strains. In general, the activity  
of the amoxicillin/Prodrug 3 combination was comparable  
to that of Augmentin (i.e. equivalent versus *H.*  
35 *influenzae* and slightly less against *M. catarrhalis*).

5 The 7:1 combinations were generally more effective than the 14:1 combinations. Data for the skin and skin structure pathogens *S. aureus*, *K. pneumoniae* and *E. coli* are also included in the following tables.

Murine pneumonia model: In this model, Female CF-1  
10 (18-20g) were challenged intranasally with log 5-6 CFU of *S. pneumoniae* delivered in a 40  $\mu$ L volume and eighteen hours post-challenge, a dose-response therapeutic regimen was initiated (b.i.d. for 2 days) with the combination of Amoxicillin/Prodrug 3 (7:1)  
15 delivering the dosage in a 200  $\mu$ L volume of 0.5% methylcellulose vehicle. ED<sub>50</sub>s were calculated from the survival data on day 10 post-challenge.

Amoxicillin, amoxicillin/Prodrug 3 and Augmentin were equally effective against a penicillin-tolerant  
20 (PD<sub>50</sub>s of 22-25 mg/kg) and penicillin-susceptible pneumococcal strain (PD<sub>50</sub>s of 2.7-3.3 mg/kg). Since penicillin-tolerant strains of pneumococci do not harbor a  $\beta$ -lactamase, the activity of amoxicillin is not improved (nor is it antagonized) by the presence of 6- $\beta$ -  
25 HMPAS or clavulanate. The higher PD<sub>50</sub>s noted with the penicillin-tolerant strain relative to the penicillin-susceptible strain is consistent with the higher MIC.

In summary, the *in vivo* oral activity for the combination of amoxicillin/Prodrug 3 (7:1 and 14:1) was  
30 compared in a head-to-head fashion with Augmentin in a gerbil otitis media model and mouse models of peritonitis and pneumonia. The amoxicillin/Prodrug 3 combination demonstrated comparable *in vivo* activity to that of Augmentin vs. respiratory tract pathogens (*H.*  
35 *influenzae*, *M. catarrhalis* and *S. pneumoniae*) and skin



5 and soft tissue pathogens (*S. aureus*, *E. coli* and *K. pneumoniae*) in these models. The *in vivo* performance of the amoxicillin/Prodrug 3 was consistent with the *in vitro* activity of this combination when assayed at a 2:1 ratio in the MIC assay.

10 In Vivo Antibacterial Activity (Oral) vs. Respiratory Tract and Skin & Skin Structure Pathogens (ED50, mg/kg)

Pathogen	Amoxicillin	Prodrug 3	Amoxicillin/Prodrug 3 (7/1 ratio)	Augmentin (7/1 ratio)
<b>Gerbil Otitis Media Model</b>				
<i>Haemophilus influenzae</i> (54A1218) <sup>2</sup>	>50	>25	8.9/1.3 <sup>1</sup>	9.9/1.4
<b>Murine Systemic Model</b>				
<i>Haemophilus influenzae</i> (54A1218)	>100	>25	9.8/1.4	13.4/1.9
<i>Moraxella catarrhalis</i> (87A1115)	>100	>100	37.6/5.4	12.6/1.8
<i>Staphylococcus aureus</i> (01A0400)	>100	>12.5	30.6/4.4	17.8/2.5
<i>Klebsiella pneumoniae</i> (53A0031)	>200	>25	20.2/2.9	13.0/1.8
<i>Escherichia coli</i> (51A0257)	>200	>100	62.2/8.9	146/20.8
<b>Mouse Pneumonia Model</b>				
<i>Streptococcus pneumoniae</i> (02J1095)	25	>25	21.8/3.1	21.8/3.1
<i>Streptococcus pneumoniae</i> (02J1016)	3.3	>25	2.66/0.38	2.66/0.38

<sup>1</sup>The first value indicates the amoxicillin concentration while the second value is the beta-lactamase inhibitor.

15 <sup>2</sup>Numbers in parantheses indicate Pfizer strain ID numbers.

5 In Vivo Antibacterial Activity (Oral) vs. Respiratory  
Tract and Skin & Skin Structure Pathogens (ED50, mg/kg)

Pathogen	Amoxicillin	Prodrug 3	Amoxicillin/Prodrug 3 (14/1 ratio)	Augmentin (14/1 ratio)
<b>Gerbil Otitis Media Model</b>				
<i>Haemophilus influenzae</i> (54A1218)	>50	>25	10.5/0.73 <sup>1</sup>	5.8/0.4
<b>Murine Systemic Model</b>				
<i>Haemophilus influenzae</i> (54A1218)	>100	>25	12.3/0.88	37.9/2.7
<i>Moraxella catarrhalis</i> (87A1115)	>100	>100	42.5/3.0	23.6/1.7
<i>Staphylococcus aureus</i> (01A0400)	>100	>12.5	94.2/6.7	24.4/1.7
<i>Klebsiella pneumoniae</i> (53A0031)	>200	>25	23.4/1.7	23.4/1.7
<i>Eschericia coli</i> (51A0257)	>200	>100	134/9.6	196/14
<b>Mouse Pneumonia Model</b>				
<i>Streptococcus pneumoniae</i> (02J1095)	25	>25	23.6/1.7	17.8/1.3
<i>Streptococcus pneumoniae</i> (02J1016)	3.3	>25	1.6/0.11	1.8/0.12

<sup>1</sup>The first value indicates the amoxicillin concentration while the second value is the beta-lactamase inhibitor.

<sup>2</sup>Numbers in parantheses indicate Pfizer strain ID

10 numbers.